



**WHAKAUAE**  
Research for Māori Health and Development

**ANNUAL REPORT 2020**







# WHAKAUAE

Research for Māori Health and Development

Ko te manu e kai ana i te miro, nōna te ngāhere

Ko te manu e kai i te mātauranga, nōna te ao

The bird that partakes of the miro berry reigns in the forest

The bird that partakes of the power of knowledge has access to the world

## Front Cover Acknowledgements:

Our grateful thanks go to Jerome Kavanagh (Mōkai Pātea, Maniapoto, Kahungunu tribes and the Caomhanach clan) for allowing us to photograph his whānau collection of taonga puoro which is named "Te Haa o Pohokura". The photograph was taken by Gail Imoff (Ngāti Rangī, Ngāti Ruakā).

Pātiki Pattern (throughout)

The kōwhaiwhai pattern is of the pātiki and was designed by Honor McCorkindale for Ngāti Hauiti to reflect one of the mōkai left by Tamatea Pōkai Whenua in the district. Pātiki may still be found in the Rangitikei River.



# Whakauae Research for Māori Health and Development

## 2020 ANNUAL REPORT

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## OUR LOGO

Our logo was designed by one of our Ngāti Hauiti whānau, Shane Bennett, and visually represents the kaupapa of our organisation.

WHENUA - The Whakauae a Tamatea  
and Ruahine Ranges

ROOPŪ - Whakauae research unit

IWI - Whānau, hapū and iwi

AWA - Te awa o Rangitikei

WHANAUNGATANGA - Te Ao Māori  
Local and intertribal relationships

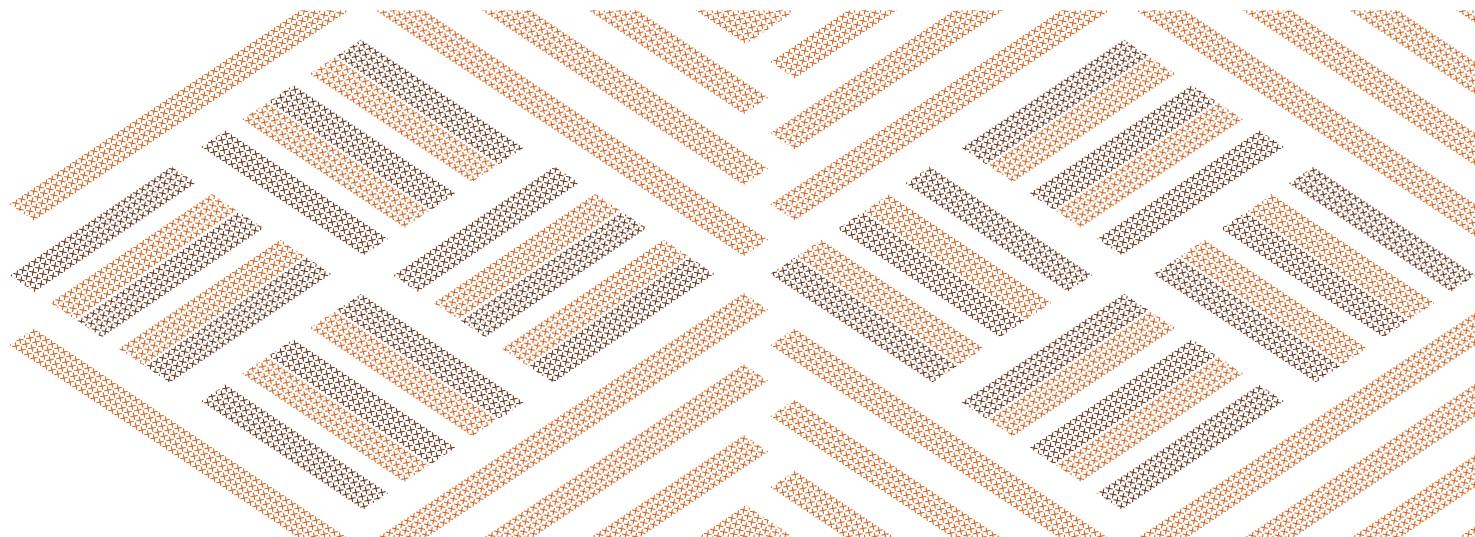
TE AO - Global and  
international relationships



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# MAUMAHARA

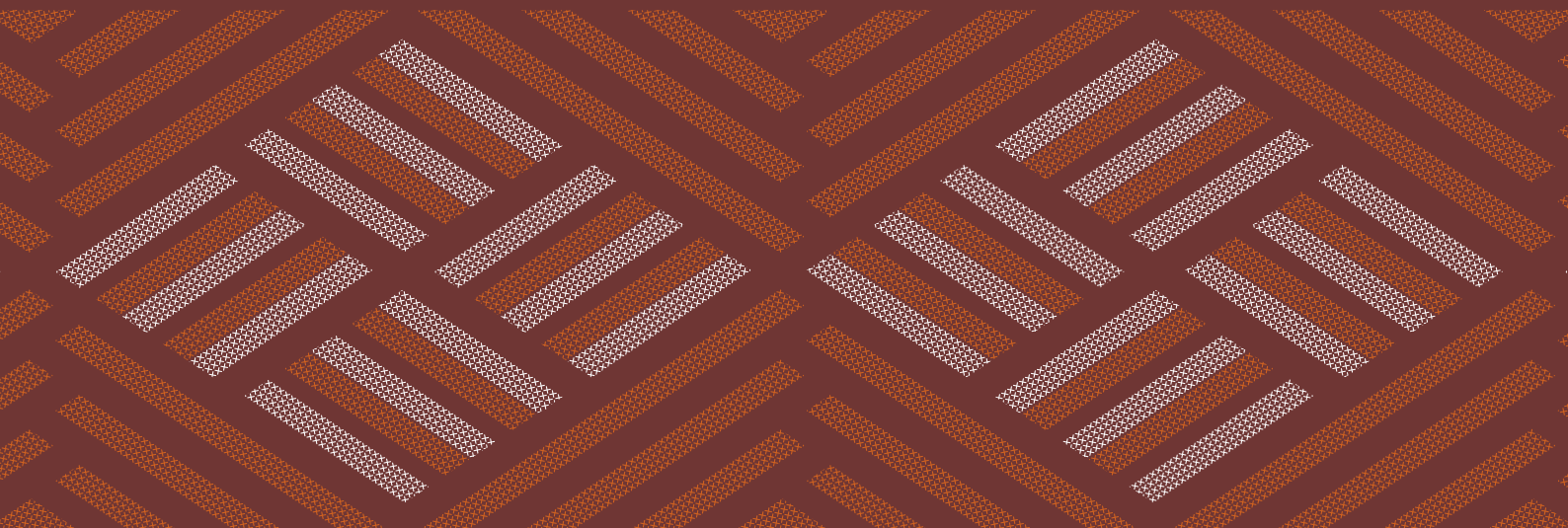
Ka anga atu taku tītiro ki te maunga tapu a Aorangi, ā, ka huri ki ngā Ruahine te pae maunga. Piki ake ki te taumata o Mēkura, ka tītiro ki te awa e rere nei! Ko Rangitikei, ka paria ki uta ko Otoa, ka paria ki uta ki Pātea, ka paria ki uta ki Ōtara, ka tatū ki Te Houhou nei ko Ngāti Hauiti e mihi atu nei!

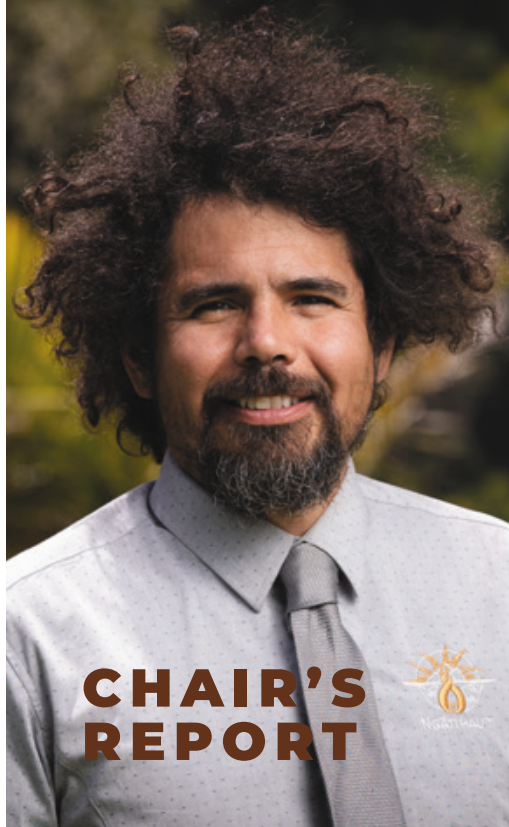
Ki ngā mate hūhū o te wā, o te wiki, o te mārama, ōtirā o te tau, kei te tangi aroha ki a koutou. Takahia atu rā te ara whānui ā Tāne, haere koutou ki te kainga tūturu mō tātou te tangata. Kāti, e mihi ana ki a koutou haere, haere, haere e oki!

Tihei mauri ora ki a tātou ngā waihotanga ake o rātou kua wehe atu ki te pō.

E ngā maunga whakahī, e ngā kōawaawa, e ngā taniwha o tēnā iwi o tēnā hapū, nei rā te mihi maioha ki a koutou. Tēnā koutou, tēnā koutou, tēnā rā koutou katoa.

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Whakauae Chair, **PETER FRASER**  
(Ngāti Hauiti)

Ko Ruahine te pae maunga,  
ko Rangitīkei te awa, ko  
Takitimu te waka, ko Ngāti  
Hauiti te iwi. E ngā tini aituā,  
haere ki tua o te ārai. E ngā  
whānau, e ngā hapū o Ngāti  
Hauiti, e ngā tāngata o te  
motu, tēnā koutou, tēnā  
koutou, tēnā koutou katoa.  
Ka nui te mihi ki a  
koutou katoa.

## 2020 – no one saw that coming, or did we?

As a child of the late 1960s, and a teenager in the 1980s, the future seemed unsure and tentative. Prince (aka 'The Artist', aka 'The Artist formerly known as Prince', aka 'Prince') captured the collective consciousness of a generation when he commanded us to 'Party like its 1999' – as that seemed so far away and, given the extent of the Cold War madness raging at the time, we were not even sure the world would make it that far.

In comparison, 2020 was an absolute eternity – imagined through the alternative lenses of *Back to the Future* or the dystopian *Mad Max* franchise. And now 2020 is here. And it is real. And thanks to COVID, potentially terrifyingly so.

But for Māori, pandemics are not new. We have been here before. And collectively, we remembered what happened the last time. As a child, I remember one of my aunts was named 'Demic', and when I asked why she had that name it was explained to me that she was one of the

first children born in her rohe following the Great Influenza Pandemic of 1919 – a calamity that in terms of deaths in New Zealand, asymmetrically impacted on Māori and Māori communities.

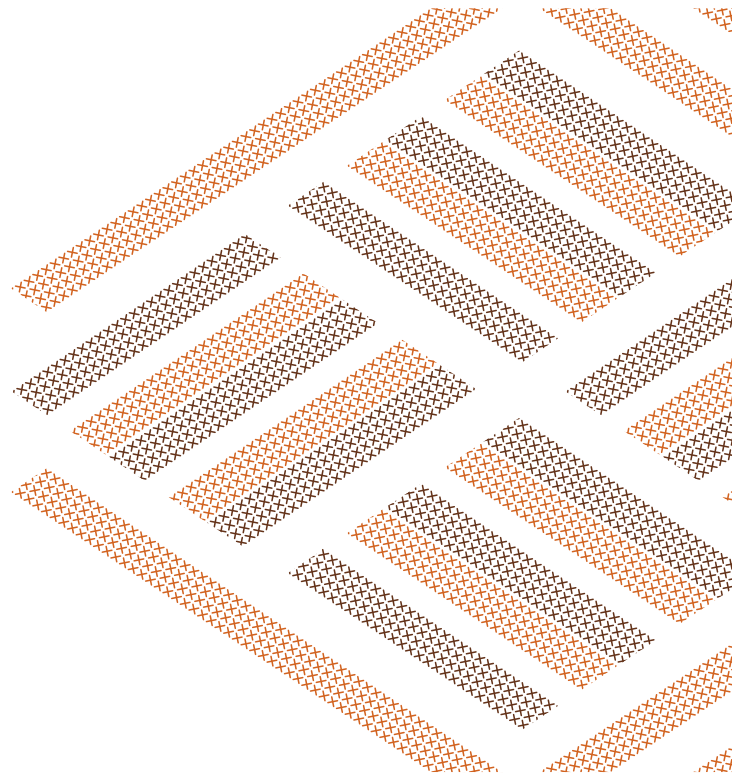
And the Great Influenza took at least two of my tupuna: my grandmother's father Arapeta and my grandfather's mother Ngahiwi – both barely 40. Moe mai, moe mai rā.

Like a generation of Māori children with names like Crete, Alamein, and Faenza, Demic was a living reminder of a tragedy that had engulfed us – but in that name a warning to be heeded was also contained. So, 101 years later the only people who were surprised when iwi and hapū started establishing roadblocks were Pākehā with woefully short memories. I suspect that they were never fed by an Aunty Demic.

Along with the rest of the county, Whakauae staff went into Lockdown with a sense of considerable



“I have always been immensely proud of Whakauae. In 2020 I had yet further reason to be: it was like everyone seamlessly, and in unison, ‘shifted up a gear’.”



uncertainty – but that did not last long as there was simply too much to do. In her report, Dr Boulton outlines the amazing work done by Māori organisations both nationally and within the Te Ranga Tupua area. I therefore want to take this opportunity to acknowledge the tremendous work done at this time and the role Whakauae staff played in that.

And then the amazing ‘business as usual’ conducted this year, despite everything, when excuses could have been easily made (and readily accepted). I have always been immensely proud of Whakauae. In 2020 I had yet further reason to be: it was like everyone seamlessly, and in unison, ‘shifted up a gear’. And the entire team came though. As a team. And was stronger for that. And in doing so overcame a potential existential threat.

Now this is not without precedent. To this end, I am reminded of the exploits of Wairaka who, in a time of peril, took command of the Mataatua canoe

– and with the aid of the other women, brought the waka safely to shore. Indeed, the naming of ‘Whakatāne’ remembers this event.

So, on behalf of Ngāti Hauiti, I want to acknowledge one of Mataatua’s own for safely bringing the waka to shore – and the amazing team of women who assisted her.

No reira, tēnā koutou, tēnā koutou, tēnā tatou katoa.

**Peter Fraser**



## DIRECTOR'S REPORT

Whakauae Director, **DR AMOHIA BOULTON**  
(Ngāti Ranginui, Ngāi te Rangi, Ngāti Pukenga)

As I write this year's Director's Report, it has been announced that Auckland will enter its fourth period of lockdown since the novel virus, Coronavirus 2019 (or COVID-19 as it has become commonly known), first hit our country's shores. The announcement is a sobering reminder that the spectre that is COVID-19 remains with us still, even in our isolated corner of the globe, deep in the South Pacific. For many, if not all of Aotearoa, 2020 will be remembered as the year of COVID-19. There was no sector of the economy that was left untouched by the impact of the pandemic and the concomitant Level Four lockdown that our country endured between 25 March and 27 April 2020. For us and our business, that of producing high quality, relevant and timely research, the situation was no different. We weathered a year of both highs and lows. To that end, I want to acknowledge our team for the way in which they pulled together in 2020; supported our Iwi owner; looked after themselves, their families, and each other; and stepped up when asked to take on new tasks and new contracts to keep our business going during those uncertain months of Level 3 and 4 lockdowns. E te whānau o Whakauae, he mihi aroha ki a koutou.

We all know the saying "it is darkest before dawn". John Milton in his magnum opus, *Paradise Lost* writes "long is the way and hard, that out of Hell leads up to light". And our own Māori cosmology states:

I te timatanga ko te Kore...  
Na Te Kore, Te Pō...  
Ki te Whai-Ao  
Ki te Ao-Mārama  
Tihei mauri-ora!

In the beginning there was  
the void...

From the void, the night...  
To the glimmer of dawn  
To the bright light of day  
There is life!

These sayings/whakataukī serve to remind us that even when things seem particularly dark and bleak, new ideas, new directions and new opportunities may present. Our team worked incredibly hard this year to make the most of the new opportunities that presented themselves; to invest in our own development; and to celebrate our successes. It is these three themes that I wish to expand upon for this year's Annual Report.

Once COVID-19 arrived on our shores, it became clear to Māori leaders that a rapid response was required to protect our communities and the most vulnerable members of those communities. Throughout the country we saw how rapidly iwi, hapū and whānau could deploy support to their people, from practical and physical support to emotional and spiritual support. Whakauae played its part, releasing three staff to assist Ngāti Hauiti during the weeks of Level Four lockdown and into Level Three. We were able to support our Iwi owners develop and execute a revised strategic management that would take them into 2021; ensure vital information regarding COVID-19,



modifications to tikanga, and how to keep safe during lockdown was communicated to whānau; and provide coordination support between the Iwi, local agencies and the Te Ranga Tupua Iwi leadership group. Amid the Iwi/community response to the pandemic, we saw the strength of those communities in action. In partnership with Te Rau Ora, we developed a publication and series of webinars, to capture and record that effort for the future <https://terauora.com/news/te-rau-ora-covid-19-publication/>.

The time and effort that was spent supporting Hauiti during lockdown directly informed the development of our programme grant application to the Health Research Council – a massive piece of work for our small team that we together drafted through April-September 2020 with input from key partners. The programme grant application is the single biggest funding application that has been put together in the history of Whakauae. Its completion represents both a coming of age for our business and an understanding of our place in the health research landscape as well as the unique contribution we make to health research as an Iwi-owned research centre.

Investing in our own development has been a recurrent theme over the years as we strive for excellence in the conceptualisation, conduct and execution of research, and those systems we require to support that research activity.

To that end, and with regard to taking advantage of opportunities, in 2020 we also developed and launched our own occasional paper series, entitled **Te Pūtake**. The occasional paper format provides a forum for our staff and partners to publish their early thinking, commentary and reflective essays and even working papers, on issues of relevance to whānau, hapū and Iwi Māori. A peer-reviewed publication, **Te Pūtake** provides another means by which we can inform the sector of the work that we are doing, in a way that is both engaging and hopefully, thought provoking.

In 2020 we were able to provide scholarships and summer internships to up and coming health researchers, as well as support the development of our own staff. Dr Tanya Allport and I enjoyed a two-day introduction to the media attending the Media Savvy Training Course hosted by Ngā Pae o Te Māramatanga. Participating in the course made us realise we have much to learn about how to use the media well as researchers and further training is consequently planned for 2021. Stacey Ranginui continues her study towards an accounting qualification and, to the delight of all in such a tumultuous year, Gill Potaka-Osborne was awarded her Master of Māori Arts degree from Massey University.

Which brings me to the theme of celebrating, for despite the tribulations of trying to undertake ethical, responsive and transformative Kaupapa Māori work in a year where we were asked to isolate and stay in our bubbles, there were several reasons to celebrate, not the least being our continued investment in capacity building and upskilling. First, Whakauae survived the year, and I think our team are all the stronger for it. We know we can pull together through the tough times and we have the flexibility and agility to adapt to meet the needs of our Iwi and our community during a crisis. Second, we celebrated the addition of Senior Researcher, Dr Tanya Allport to our team. Tanya brings a new perspective to our work and has quickly become an invaluable part of our organisation. Third, we continue, all of us, on our journey of learning/relearning mātauranga Māori and embedding reo and tikanga into the day-to-day activities of our organisation. We have made our new whare our own, even though we spent many weeks in 2020 working from home, away from each other. Our whare, Whakauae Raro, is a place where we can welcome our friends, colleagues, whānau, research partners and community members under the shelter and protection provided by our Iwi owner, Ngāti Hauiti.

As ever, I invite you to peruse the pages of our *Annual Report* and see for yourself the range and diversity of work undertaken by our team during 2020.

# DIRECTORS

## PETER FRASER BA, BCA, MCA

I am a policy economist with a strong background in applied microeconomics, having worked in areas as diverse as health economics to natural resources to agriculture to competition policy. I have worked for a range of public sector organisations (including Treasury, the Crown Company Monitoring Unit, the Ministry of Agriculture and Forestry, the Department of Building and Housing and the Department of Labour) in addition to lecturing at Te Wānanga o Raukawa and the Open Polytechnic of New Zealand.

As a private consultant, I have advised clients ranging from corporates to NGOs in areas such as competition policy in the dairy industry and the economics of water storage. I have provided expert economic advice to Environment Commissioners and the Environment Court; and provided economic analysis to the Waitangi Tribunal as part of the Mōkai Pātea Waitangi Claims Settlement.

In September 2019, I joined the Institute for Governance and Policy studies at Victoria University of Wellington as a senior associate. I also operate a surface cleaning business, conducting commercial blasting of roads, boats and building sites.



*From left: Board members Anthony Thompson, Dr Erica Williams and Dr Amohia Boulton with Board Chair, Peter Fraser*

I whakapapa to Ngāti Hauiti through my maternal grandmother (Rora Catherine Potaka) and grandfather (John Tihone Kereopa) and affiliate to the Tamatereka hapū. I am a commissioned officer in the New Zealand Territorial Army and in 2002 undertook a tour of Bosnia-Herzegovina. I chair the Whakauae Board and am a member of Te Rūnanga o Ngāti Hauiti representing the Tamatereka hapū. I am married with two young sons and enjoy taking the boys along to the many iwi activities that I take part in.

## VISION

Transforming Māori lives through excellent research.

## MISSION

From thought to action: Driving high quality Kaupapa Māori research that is innovative, collaborative and cutting edge to create positive change.



## **ANTHONY THOMPSON**

**(Ngāti Hauiti, Ngāti Whitikaupeka)**

I have been a member of the Whakauae Board of Directors for three and a half years. I began as a rangatahi representative on Te Rūnanga o Ngāti Hauiti, transitioning to a representative of my hapū in 2019. In 2019, I also joined the Rangatahi Group of the National Iwi Chairs Forum and am a representative on the Pou Taiao. I have appreciated the many opportunities to learn from iwi leaders.

As a rangatahi, I participated in the Ngāti Hauiti young leaders development programme 'He Whetu Arataki' which was part of my preparation to take up future leadership roles within the Iwi. It is my aim to help nurture others and support the future for our Māori people. I have a keen interest in hauora and the future wellbeing of whānau, hapū and iwi. My passion is to help preserve our culture and work towards improving our prospects as a people.

I have recently completed a Bachelor of Science, with a double major in environmental science and geo-spatial science. In 2015, I successfully completed a certificate of oral proficiency in Te Reo Māori with Te Wānanga Takiura o Ngā Kura Kaupapa Māori o Aotearoa in Rūmaki Reo. I am currently employed by SkyCity as the Group Sustainability Manager. My aim is to gain management experience and build relationships within the private sector to bolster my skills and knowledge and use these experiences to give back to my iwi and people. I aim to continue building my knowledge around the three pillars of sustainability: environment, people, and economy by continuing my development in governance, environment, and cultural aspects both Indigenous and Western.

My partner and I live in Tāmaki Makaurau with other Hauiti whānau.

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## **DR ERICA WILLIAMS (Te Arawa, Ngāti Whakauae, Ngāti Pikiao, Te Whānau ā Apanui) MSc, PhD**

I am currently Pou Whakarae - Te Hiringa Taiao within Māori Environmental Research (Te Kūwaha) with NIWA (the National Institute of Water and Atmospheric Research) based in Wellington.

In 1995 I joined NIWA as a technician in the eco-toxicology lab. I have since completed a MSc (University of Waikato) and a PhD (University of Auckland) which investigated the effects of a group of contaminants called polycyclic aromatic hydrocarbons (PAHs) on shellfish. As a principal scientist, I worked on a wide range of projects spanning both the marine and freshwater environments within the National Centre of Māori Environmental Research. In May 2019, I was appointed as a Board Director with Whakauae Research.

## **OUR ORGANISATION**

Whakauae Research for Māori Health and Development was established by Te Rūnanga o Ngāti Hauiti in 2005. The aim of establishing Whakauae was not only to develop the research capacity of Ngāti Hauiti but also to offer a broad range of Kaupapa Māori research services both nationally and internationally. Whakauae is unique in its position within Māori health research as it is directly accountable to Te Rūnanga o Ngāti Hauiti. We are recognised by our peers as very good researchers "producing robust research in a timely manner" and are passionate about transforming research results into change at practice and policy levels.

Whakauae focuses primarily on Māori public health research, evaluation and health services and health policy research. The team has grown from two staff initially in 2005 to nine staff members currently. Whakauae utilises largely Kaupapa Māori qualitative research methodologies however, through research partnerships we can offer a mixed method approach if the research requires this.

In December 2011, Whakauae became both a separate limited liability company and a wholly owned subsidiary of Te Maru o Ruahine Trust. Whakauae Research Services Limited has a stand-alone Board of Directors and in June 2012 registered as a charity continuing to operate under the auspices of Te Rūnanga o Ngāti Hauiti.



HAUORA  
TANGATA  
NGĀKAU  
TAPATAHI  
AURERE  
RANGATIRATANGA

**MĀTAURANGA**

TANGATA  
MANAAKI







# VALUES

As the only iwi-owned Māori health research centre in Aotearoa New Zealand, we work under the direct guidance of a governance board that includes two Iwi members further strengthening the ties between Whakauae and Ngāti Hauiti.

Our values, ngā tikanga o Whakauae, guide the activities we undertake as a research centre, both internally in our day-to-day business and externally in the community, in our dealings with funders or commissioners of research, with partners and with research participants. Our values link to, and derive from, the universal values set by our Rūnanga and provide greater detail on how we must conduct ourselves in our work. Our operating values are:

## NGĀ TIKANGA O WHAKAUAE

*Ko te tīmatanga, ko ngā tikanga kua whārikihia e Te Rūnanga o Ngāti Hauiti mō ngā mahi katoa o ngā rōpu e mahi ana i raro i te maru o te iwi nei. Ko ngā tikanga nui, ko te mana; rangatiratanga; kaitiakitanga; manaaki tangata me te whānaungatanga. E kaha ana a Whakauae ki te hāpai i ēnei tikanga katoa, ōtirā, kei raro nei he māramatanga anō e pā ana ki ngā tikanga hei kaiārahi ki ngā mahi katoa o te rōpu rangahau nei.*

**>> Ko te Hauora Tangata te kaupapa matua.**  
**Ko ētahi o ngā āhuatanga nui e pā ana ki te kaupapa nei ko te tīnana, te wairua, te hinengaro me te oranga o te whānau.**

Hauora Tangata: Whakauae embraces a holistic understanding of what constitutes good health for all. We acknowledge the dimensions of the physical body, spirituality, knowledge and understanding, along with the wellbeing of the entire whānau as the key principles of wellbeing.

**>> He mea nui ko te Manaaki Tangata i roto i ngā mahi katoa, ahakoa ko wai, ahakoa kei hea.**

Manaaki Tangata: In all our actions and interactions we will uphold high standards of care and respect for each other and all the people and organisations with whom we interact.

**>> Ko te Mātauranga e āheitia te whānaketanga mō ngāi tātou, mō te iwi Māori.**

Mātauranga: We acknowledge that knowledge, encompassing academic achievement, excellence and mātauranga Māori, is the key enabler of Māori growth and development.

**>> Ko te Ngākau Tapatahi me te Aurere te waka kōkiri.**

Ngākau Tapatahi Aurere: It is through professionalism, integrity, diligence and genuine passion that we aim to build our reputation and make true progress as a research service.

**>> Ko te Rangatiratanga te kaupapa nūnui**

Rangatiratanga: We will uphold the right of Māori to determine their own aspirations and the pathways for achieving them. As an iwi-owned entity, Whakauae is accountable to the Ngāti Hauiti Rūnanga, through our own governance board.

# RESEARCH PLATFORMS, STRATEGIC OBJECTIVES AND 2021 MILESTONES

The Whakauae Strategic Plan 2018 – 2023 developed by the Whakauae team, the Whakauae Board and Te Rūnanga o Ngāti Hauiti identifies the following five platforms that guide our work:



**PLATFORM ONE:**  
**Research**

The conduct of an integrated programme of research producing high quality, high value research findings of use to our key stakeholders



**PLATFORM TWO:**  
**Relationships**

Consolidation of existing, and development of new, relationships with key stakeholders to ensure the timely uptake of our research results



**PLATFORM THREE:**  
**Leadership**

The provision of distinctive insight, critical analysis and thought leadership at a local, national and international level



**PLATFORM FOUR:**  
**Organisational Capacity  
and Capability**

Investment in our capacity and capability to ensure the ongoing sustainability and success of the organisation



**PLATFORM FIVE:**  
**Research**

Research findings advocated for forceful action resulting in positive change in the lives of iwi / Māori

*Each of these platforms, their corresponding objectives, and our progress towards achieving 2020 milestones are presented below*









## PLATFORM ONE:

**Research** - The conduct of an integrated programme of research producing high quality, high value research findings of use to our key stakeholders

### OBJECTIVE 1 – CONTINUE TO BUILD ON THE TRACK RECORD OF SUCCESSFUL HRC GRANT APPLICATIONS

#### 2020 Milestone – At least one project and/or programme grant application made to the HRC in 2020

Whakauae successfully led the development of our first comprehensive research programme grant application and lodged this with the HRC in October 2020. The proposed programme of research, *Kia puawai ake ngā uri whakatupu: flourishing future generations* was developed in partnership with Utiku Potaka (Ngāti Hauiti), Professor Denise Wilson (Ngāti Tahinga; Tapua Waiora, Auckland University of Technology), Dr Bridgette Masters Awatere (Te Rarawa, Ngai Te Rangī, Tuwharetoa ki Kawerau; University of Waikato) and Dr John McMenamin (Whanganui Regional Health Network). Independent researcher, Kiri Parata (Te Atiawa, Ngāti Toa, Ngāti Raukawa, Ngāti Ruanui and Ngāi Tahu) project-managed the preparation of the grant application over an intensive period leading up to eventual submission. The outcome of the application will be advised by the HRC by mid-2021.

The overarching research question for the proposed programme is: *What Māori knowledge is required to shift system responses to enable better health outcomes for Māori?* The four objectives of the programme will be addressed, during the five-year duration of the grant, through

three concurrent research projects and a specific knowledge translation component. The team brought together to advance the programme of research combines senior Māori health researchers (all the Principal Investigators are Māori), mid-career and emerging Māori health researchers and Pākehā allies with a commitment to achieving Māori health outcomes equity. The research programme is underpinned by Hāuititanga and a set of research values and principles derived from this tikanga.

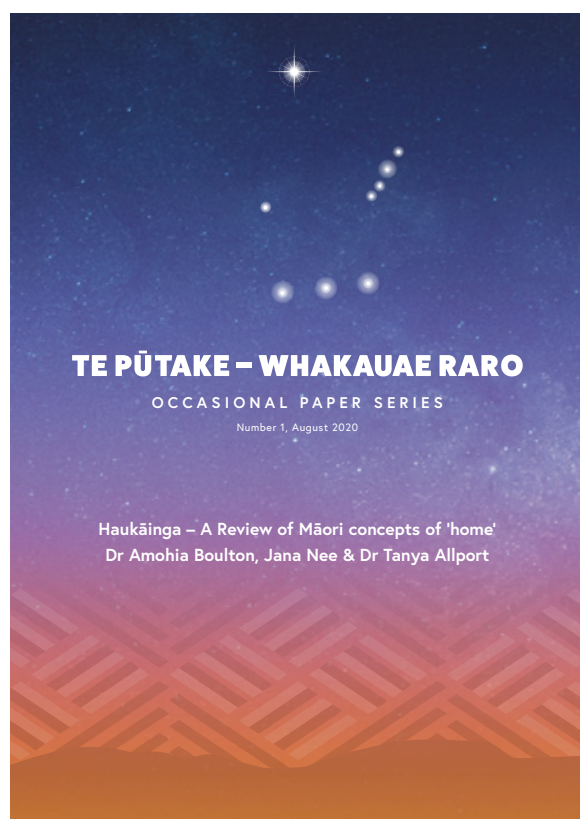
In relation to Objective 1 more broadly, the *Te Ao Rauropi: Mapping the Biosphere of Rongoā Māori* research project grant application was submitted to the HRC in late 2019. Following peer review of the proposal, and the rebuttal process, funding to implement the study was announced by the HRC in mid-2020. The research proposal was developed in partnership with Dr Glenis Mark (Ngā Puhī, Tainui, Ngāi Tahu, Ngāti Koata), an independent researcher based in Tāmaki Makaurau, and Ms Donna Kerridge (Ngāti Tahinga, Ngāti Mahuta, Ngāti Maniapoto), a rongoā Māori practitioner who is also a vocal advocate of rongoā. The research team has a strong interest in ensuring that study findings are used for the benefit of iwi/hapū/Māori and for the rongoā sector.

## OBJECTIVE 2: CONTINUE TO CONTRIBUTE TO THE ONGOING DEVELOPMENT OF KNOWLEDGE AND SCHOLARSHIP IN THE APPLICATION OF KAUPAPA MĀORI RESEARCH

### 2020 Milestone – Incorporate Kaupapa Māori thinking, methodology and methods into new projects for the 2020 year

The Ngā Pae o te Māramatanga-funded research project, *Perceptions of Papakāinga: An examination of 'home' and how to cater services for Māori* commenced early in 2020. The study is strongly anchored in Kaupapa Māori thinking, methodology and methods and represents a collaborative effort between Whakauae Research and Wai Research, the research arm of Te Whānau o Waipareira Trust (Tāmaki Makaurau). *Perceptions of Papakāinga* is exploring the views, attitudes, and perceptions of “home” for Māori in two distinct communities (one rural, one urban). The relationship between concepts such as identity, whakapapa, and hauora and participants views of “home” are being investigated through the research.

A comprehensive literature review, *Haukāinga – A Review of Māori concepts of 'home'* was completed early in the *Perceptions of Papakāinga* study. The literature review formed the basis of the first paper published by Whakauae in our newly launched *Te Pūtake - Whakauae Raro Occasional Series*.



*The first paper in Te Pūtake – Whakauae Raro Occasional Paper Series*

Whakauae initiated *Te Pūtake - Whakauae Raro Occasional Series* as a forum for original research, review, commentary and reflective essays on issues of relevance to whānau, hapū and Iwi Māori informed by Kaupapa Māori thinking, methodology and methods. *Te Pūtake – Whakauae Raro Occasional Paper Series* explores the aspirations, challenges and important new issues arising for Māori and is intended to address a wide audience of both national and international change-makers. The occasional paper series will feature a range of contributors and peer-reviewed papers that are designed to effectively communicate formative thinking, early research findings, critical commentary and ideas to promote discussion and engagement on creating positive outcomes for Māori.

The title, *Te Pūtake – Whakauae Raro* reflects the merging of two key concepts central to the Ngāti Hauiti tradition of pursuing knowledge and applying that knowledge for the benefit of its people. The kupu “pūtake” refers to the idea of the source or origins; the origins of Hauiti as a people, but also the origins and creation of knowledge. *Te Pūtake* is also the name given to the Ngāti Hauiti journal, launched in 2006 and intended to support Iwi advancements through the provision and dissemination of Hauiti-specific whakapapa, waiata, mōteatea, pūrākau and other scholarly writings.

Whakauae Raro meanwhile, refers to the origins of the Whakauae name, which is derived from *Te Whakauae ā Tamatea* (the jawbone of Tamatea), a hill country range between Mangaweka and Taihape in the Rangitikei and named by Hauiti tupuna, Tamatea Pōkai Whenua. In Māori tradition, the jawbone holds significant meaning referring both to *te kauae-runga* (celestial knowledge) and *te kauae-raro* (terrestrial, or worldly knowledge). *Te Whakauae ā Tamatea* provides Ngāti Hauiti with a physical and cultural link to ancestral knowledge and traditions. As the Ngāti Hauiti centre for health research and development, Whakauae Research Services Ltd is a hub for information and knowledge that strives to support the aspirations of Māori communities and to embody the essence of *Te Whakauae ā Tamatea*.

*Te Pūtake – Whakauae Raro Occasional Paper Series* merges these two traditions of knowledge and information. Launched during the time of Puanga, this series of occasional papers also serves to remind us of the need to take stock, to reflect on the past, to make time for wānanga and to re-energise for future challenges.

In a further contribution toward the achievement of the Objective 2: 2020 milestone, Dr Amohia Boulton took part in a Public Service Association (PSA) initiative. Mid-year, the PSA produced a series of online learning events entitled *Te Pukenga Here Tikanga Mahi post-Covid-19 webinars*. The webinars were billed as an exclusive series “bringing together progressive voices” from across Aotearoa New Zealand. Dr Boulton and colleague, Deb Te Kawa (DTK and Associates) together presented a webinar included in the series of ten. Their focus was on possible futures for our public and community services.

Amohia and Deb’s webinar highlights that Te Ao Māori is well positioned to accommodate the best interests of Māori, in the context of a global pandemic that the wider world is poorly positioned to deal with. ‘Raising waka, not just yachts’ proposes that timely and solid state investment in Māori institutions, in Whānau Ora commissioning agencies and in Iwi is a sure-fire way to minimise the otherwise inevitable inequitable post-pandemic outcomes for Māori. Their webinar recording is available from <https://www.psa.org.nz/media/resources/progressivethinking/>. A book compiled and published by the PSA in October 2020, that includes a chapter written by Amohia and Deb is also available at the same website address.

Additionally a book, co-edited by Dr Amohia Boulton and Dr Kahu McClintock, entitled *Ko Tōku Ara Ra Aotearoa COVID- 19 2020*, was published by Te Rau Ora in November 2020. The book, that explores Māori and Iwi experiences of COVID-19, includes eight case studies of responses to COVID-19 during the Level 3 and 4 lockdowns from iwi/Māori perspectives. A webinar series was delivered, leading up to the release of the book,

featuring the authors of the various book chapters presenting their mahi. Drs Heather Gifford (Ngāti Hauiti, Te Ātihaunui-ā-Pāpārangī) and Amohia Boulton co-wrote a chapter for the book on the Ngāti Hauiti COVID-19 experience, that Dr Gifford outlined in one of the webinars preceding the publication of *Ko Tōku Ara Ra Aotearoa COVID- 19 2020*. Dr Gifford’s webinar and copies of the book are available from the Te Rau Ora website, <https://terauora.com/webinars/>; and <https://terauora.com/news/te-rau-ora-covid-19-publication/> respectively.

Dr Aria Graham (Ngāti Kahungungu, Ngāti Pōrou, Samoan) completed a first comprehensive draft of a Kaupapa Māori research methodology paper during the year. She will refine the draft and submit her paper for publication in the new year.



*The PSA’s recently published book comprising chapters based on the webinar series.*



## Evaluation of He Whetū Arataki / A Guiding Star Ngāti Hauiti Youth Leadership Programme 2018-2019

Heather Gifford, Gill Potaka-Osborne & Teresa Taylor Whakauae Research for Māori Health and Development and T&T Consulting Ltd.

### ACKNOWLEDGEMENTS:

We acknowledge all the participants who took part in this research and Ngāti Hauiti members invested in continuation of a successful programme.

### BACKGROUND:

The He Whetū Arataki Leadership Programme was designed by Ngāti Hauiti leaders for Ngāti Hauiti to build sustainable leadership for the iwi. The programme is informed by Hauititanga and relies on current and future leaders to participate in the intergenerational transmission of knowledge and skills to achieve a greater level of strength and security for the cultural identity, and economic viability of Ngāti Hauiti.

Programme delivery is through wānanga and guided by the five principles of:

#### 1. Hauititanga

Developing cultural confidence as Ngāti Hauiti descendants, through historical kōrero, whakapapa, whenua, haka, waiata and pātere;

#### 2. Kotahitanga

Strengthening rangatahi as individuals and as a group;

#### 3. Rangatiratanga

Identifying leadership qualities within themselves and those around them;

#### 4. Manaakitanga

Strengthening and supporting each other and the wider whānau;

#### 5. Whanaungatanga

Developing strong relationships with peers and whānau.

### EVALUATION:

In 2018, TRoNH commissioned a retrospective process and outcomes evaluation to help them understand to what extent the He Whetū Arataki programme had contributed to sustainable leadership for Ngāti Hauiti. The evaluation was completed in August 2019 and was subsequently reviewed and approved by the rūnanga.

### FINDINGS:

All key informants agreed that He Whetū Arataki is an innovative project which has successfully contributed to developing leadership skills in rangatahi of Ngāti Hauiti descent. They were resolute that the programme had added value for Ngāti Hauiti and should continue.

### RECOMMENDATIONS:

After careful consideration of the evaluation results we recommend to Te Rūnanga o Ngāti Hauiti that they;

1. Review relevant Ngāti Hauiti and HWA programme documentation such as the 2009 Policy and Strategic Framework to ensure it is still fit for purpose and aligns with the needs and desires of Ngāti Hauiti members.
2. Review the reach and effectiveness of current communication methods to iwi members through development of a communication plan.
3. Modify the current He Whetū Arataki Programme to meet the diverse needs of Ngāti Hauiti rangatahi. Some ideas include a staged learning approach adopted for different age groups and better use of modern technology.
4. Explore employment and contracting options so that a diverse pool of tutors is recruited to facilitate a variety of opportunities for whānau to connect and wānanga.
5. Complete a stock take of all HWA Graduates to ascertain current skills, level of interest and ways to contribute back to Ngāti Hauiti; and develop a strategy with graduates that incorporates on-going cultural needs and advances and enhances their cultural learning.



### CONCLUSION:

Developing leadership programmes for indigenous youth requires a tailored and sustainable approach that takes account of the uniqueness of the population they belong to. To create vibrant future leaders there must be an investment from elders to transfer historical knowledge and traditions in ways that resonate with rangatahi; that investment must also create opportunities for rangatahi to 'put theory into practice'. This acknowledges the value of both shared and different perspectives and also allows the fusion of past and present so future leaders are enabled to lead in a way that honours all aspirations.

While programmes will identify and stimulate potential leaders, actually realising those future leaders can take time as young people build their lives.

*He Whetū Arataki evaluation results poster*

### OBJECTIVE 3: IDENTIFY AND CONDUCT DISCRETE, SMALL-SCALE, RESEARCH PROJECTS WITH THE POTENTIAL TO CONTRIBUTE TO PAE ORA, WHERE FUNDING TO SUPPORT THIS WORK IS NOT OTHERWISE AVAILABLE

#### 2020 Milestone – At least one research project is conducted which contributes to Iwi/community aspirations for wellbeing

In the first half of the year the evaluation of the Ngāti Hauiti leadership programme, He Whetū Arataki formally concluded with the dissemination

of a poster highlighting the results of the study. The dissemination poster was presented to the Iwi at a quarterly Rūnanga meeting where it became the topic of much conversation. Copies of the poster are currently displayed in both the wharekai and in the Rūnanga board room at Rātā Marae.



## PLATFORM TWO:

**Relationships** - Consolidation of existing, and development of new, relationships with key stakeholders to ensure the timely uptake of our research results

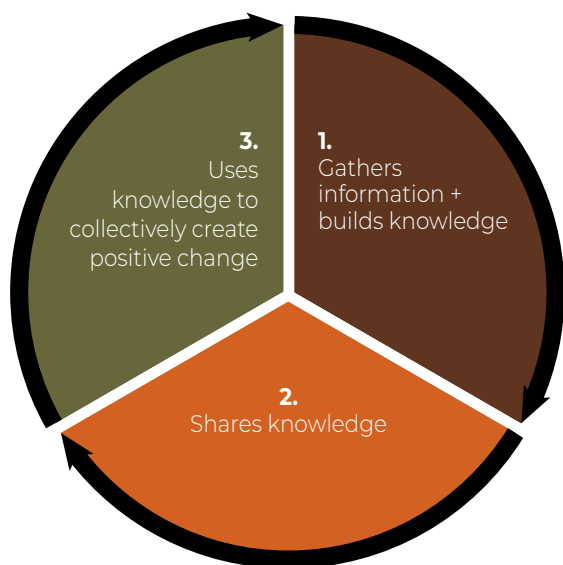
### OBJECTIVE 1: CONTINUE TO WORK CLOSELY WITH NGĀTI HAUITI TO IDENTIFY THEIR RESEARCH NEEDS AND SUPPORT RESEARCH CAPACITY AND CAPABILITY BUILDING OF NGĀTI HAUITI WHĀNAU

#### 2020 Milestone - Dr Heather Gifford to provide support and advice to the Rūnanga sub-committee charged with developing the draft research strategy

In 2019 Whakauae recommended that the Rūnanga review the Iwi's ten-year research strategy, *Te Whakauae A Tamatea – A Ngāti Hauiti Strategy for Research Development*, due to be replaced in 2020. Whakauae coordinated a Hui-ā-Iwi to review and revise the document which involved kaumatua, Rātā Marae Committee members, Rūnanga members, Whakauae Research Board members, wider whānau and the Whakauae team. The hui was facilitated by community researcher, Teresa Taylor (Ngāti Raukawa, Ngāti Tūkorehe, Ngāti Kahungunu, Pākehā). The hui agreed that most of the goals

included in the original strategy document had been successfully achieved (12 out of the 13). It was agreed too that the time was right to come up with a revised Iwi research strategy for the 2020 – 2029 period.

To begin the conversation about a revised strategy, small groups of hui participants talked about what 'doing research' meant to them. Each group shared and discussed their ideas with the wider group. Figure 1 below sums up the three main points made about 'doing research':



**FIGURE 1: WHAT DOES RESEARCH DO?**

Small groups also talked about what reasons there might be for doing Iwi research. Figure 2 sums up the key points that came out of the discussion.



**FIGURE 2:  
THE PURPOSE OF IWI RESEARCH IS TO:**

The hui ended with the appointment of a sub-committee to drive the further development of the revised strategy. It was agreed that the sub-committee would work on a draft, taking in to account the Iwi's other strategic documents and the discussion that had taken place during the Hui-a-Iwi.

The sub-committee was chaired by Anthony Thompson and included whānau members Maihi Potaka and Peter Fraser. The sub-committee met

several times throughout 2019 to progress the draft, but development of the strategy was affected by the arrival of COVID-19 to our shores. In late 2020 the Rūnanga Convenor, Heather Gifford, supported the Hui ā Iwi Sub-Committee to complete the Iwi Research Strategy 2020-2029. The revised *Te Whakauae A Tamatea – A Ngāti Hauiti Strategy for Research Development* will be presented to the Rūnanga for their endorsement and sign-off in February 2021.

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## **OBJECTIVE 2: INVESTIGATE, AND ADVANCE, ADDITIONAL ALLIANCES AND COLLABORATIONS WITH RESEARCH GROUPS THAT CAN SUPPORT US TO ACHIEVE OUR OVERARCHING VISION**

### **2020 Milestone – As part of the HRC programme grant development process, identify like-minded academic partners who actively work to support Māori aspirations through their scholarship**

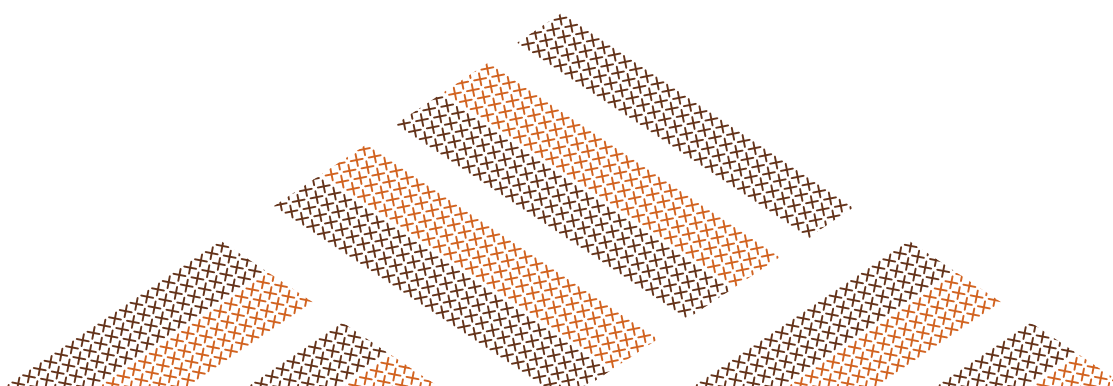
As noted above, Whakauae successfully led the development of *Kia puawai ake ngā uri whakatupu: flourishing future generations*, our research programme grant application lodged with the HRC in October 2020. Identifying like-minded scholars to partner with in the proposed research was a critical element of grant development. Utiku Potaka, Professor Denise Wilson (Tapua Waiora, Auckland University of Technology), Dr Bridgette Masters Awatere (University of Waikato) and Dr John McMenamin (Whanganui Regional Health Network) took up the opportunity to work collaboratively with Whakauae on the grant application.

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## **OBJECTIVE 3: CONSOLIDATE EXISTING PARTNERSHIP RELATIONSHIPS WITH ACADEMIC AND COMMUNITY PARTNERS THROUGH THE CONDUCT OF ONGOING RESEARCH AND CAPACITY-BUILDING ACTIVITY.**

### **2020 Milestone - Relationships consolidated through the development of MoUs with like-minded academic partners who actively support Māori aspirations for Pae Ora through their scholarship.**

MoUs were negotiated with like-minded academic partners in the process of developing the Whakauae HRC programme grant application. MoUs were consequently signed with two academic institutions, AUT and the University of Waikato, with the two health providers that will be involved in the research, Gonville Health (Whanganui) and the Health Solutions Trust (Whanganui) and with independent researcher and public health physician, Dr Pat Neuwelt.







## PLATFORM THREE:

**Leadership** - The provision of distinctive insight, critical analysis and thought leadership at a local, national and international level

### OBJECTIVE 1: A FOCUS ON INTERNAL LEADERSHIP

#### 2020 Milestone - Leadership provided by the Research Director meets the needs of the Whakauae team

Opportunities to reflect on, and review, the direction of Whakauae leadership, communication, and tikanga practice were taken up by the team during the year. In late January, we met to workshop tikanga in the context of Whakauae and to develop the Whakauae 2020 annual plan. Independent researcher and Whakauae colleague, Teresa Taylor spent two days with us working through these priorities. The wānanga resulted not only in the confirmation of our priorities as a Kaupapa Māori research organisation but the clarification of our Whakauae values and how we can embody these in our everyday interactions.

In October 2020, a full day wānanga was convened with a dual focus on the application of Kaupapa Māori theory and on research dissemination practice, that saw the team further develop a shared vision of the unique place of Whakauae in thought leadership. A Kaupapa Māori methods paper developed by Dr Aria Graham was shared with participants during the wānanga. The paper will be submitted for publication early in 2021.

Throughout 2020, the Whakauae team continued to participate in regular Whakawhiti Kōrero Hui. The value of prioritising this forum was especially apparent during the level 4 lockdown, and level 3 restrictions, as a primary avenue for keeping in touch and monitoring individual and team wellbeing.



*Workshop facilitator, Teresa Taylor*

Whakauae Director, Dr Amohia Boulton participated in quarterly day-long workshops with her practice supervisor, Karen Shepherd during the year. These sessions focused on leadership, more specifically how to maintain a balance in workload to ensure effective leadership. The sessions complemented the wider teamwork conducted.



*Dr Heather Gifford and Gill Potaka-Osborne during a 2020 planning session*





## PLATFORM FOUR:

### Organisational Capacity and Capability

Investment in our capacity and capability to ensure the ongoing sustainability and success of the organisation

#### OBJECTIVE 1: ENSURING OUR ONGOING SUSTAINABILITY AND VIABILITY AS A KAUPAPA MĀORI HEALTH RESEARCH CENTRE

##### 2020 Milestones

##### >> Opportunities to build research and business administration capacity across the Whakauae team are identified

In late 2019 – early 2020, Whakauae team members formally identified professional development goals that were then addressed, in a variety of ways, this year. For Stacey Ranginui (Te Ātihaunui-ā-Pāpārangi) a formal programme of study remained a priority. Meanwhile, research team members identified a gap in their proficiency in the use of the EndNote software programme as a rangahau tool. Offering a supervised summer studentship to a Māori postgraduate student with advanced EndNote software programme competencies was identified as a suitable avenue for the upskilling of the research team. The studentship was also positioned as a valuable research learning opportunity for a suitable student potentially adding to their kete of Māori health research knowledge.

##### >> Provision of resources and opportunities to build the capacity of the Whakauae team consistent with the aspirations of both team members and Whakauae

Gill Potaka-Osborne's (Te Ātihaunui-ā-Pāpārangi) thesis, submitted for examination at the end of 2019, marked the conclusion of her master's programme study at Te Putahi-a-Toi, Massey University. The thesis, titled *"Kind of like outside the box but kinda in the box": Using cup of tea words to describe experiences of Māori evaluators with Māori communities in externally commissioned evaluations* explored the recent evaluation work of five experienced Māori health and social services programme evaluators.



Gill Potaka-Osborne and Stacey Ranginui, 22 July 2020

Gill was awarded her master's degree in mid-2020, and the Whakauae team marked the occasion with a team luncheon. Gill's research was inspired by several factors including her work as an evaluator with Whakauae over the past 15 years; her participation in the Mā Te Rae Māori Evaluation Association, the Aotearoa New Zealand Evaluation Association (ANZEA) and the AES (Australian Evaluation Society); and by her previous postgraduate study in the evaluation field. Gill's research was supervised by Associate Professor Margaret Forster (Rongomaiwāhine, Ngāti Kahungunu).



Meanwhile, Stacey Ranginui continued with her study of te reo Māori, in her own time, at the Whanganui campus of Te Wānanga o Aotearoa. She also enrolled in a further two Bachelor of Business Studies degree papers in 2020, successfully completing both in addition to maintaining a busy schedule of paid work and wider whānau activities. Over the summer of 2020 – 2021, Stacey enrolled in a taxation paper that she will be able to credit towards her degree once successfully completed.

Following the identification of ways to further build capacity, Whakauae team members took up a variety of inhouse and other non-certificated knowledge and skill development opportunities during the year. Tracey Wilkie (Whakatōhea), a Victoria University PhD candidate, was awarded a Summer Studentship 2019 - 2020 to work with the researchers, in the intensive Whakauae research environment, to upskill us in EndNote and to refine our Endnote library.



*Tracey Wilkie (left) working with Dr Aria Graham*

Tracey had a wide scope to work with as the EndNote competencies of the researchers varied. Her extensive teaching experience proved to be an asset in her studentship mahi. That mahi included Tracey teaching some researchers “how to use” basic tools in EndNote to insert references from their current library, into their manuscripts; to import references from online databases, such as journals, webpages, books, reports; and to manually enter reference data into their EndNote library. Other researchers, more familiar with the EndNote software, greatly appreciated working alongside Tracey to further extend their user competencies.

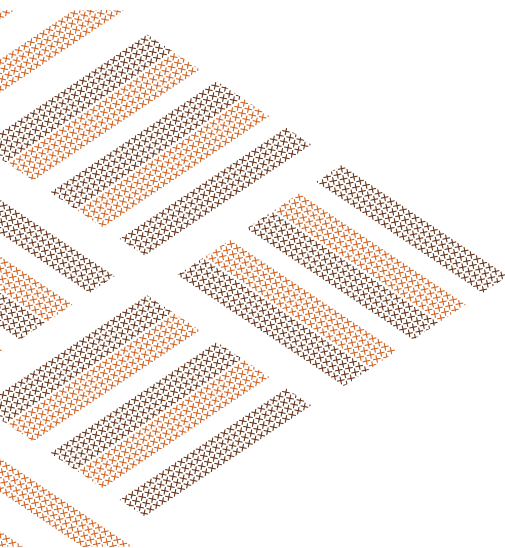
In addition to facilitating small group training sessions and one on one sessions with each researcher, Tracey also developed a practical resource guide to support the team as EndNote users. By the time her studentship concluded, at the end of February 2020, Tracey had succeeded in her mission to upskill the research team.

Mid-way through the year we again sought out Tracey’s EndNote and learning facilitation expertise, asking her to work short-term, via Zoom, with Mel Potaka-Osborne (Te Ātihaunui-ā-Pāpārangī). Whilst Mel is the PA to the research team, she is now also building her skills with a view to taking on research assistant responsibilities. Following a series of weekly one hour sessions with Tracey, Mel was equipped to support the research team with the further development of research project EndNote libraries and with immediate EndNote ‘trouble shooting’. Meanwhile, Tracey has further built her Māori health research networks through her ongoing association with Whakauae, and an enduring relationship has now been forged.

Alongside EndNote training, Mel participated in on-line training in evaluation through opportunities made available by the Aotearoa New Zealand Evaluation Association (ANZEA). Towards the end of 2020, she participated in a three day research course in Wellington facilitated

by Dr Delwyn Goodrick. The course, entitled ***Qualitative Research: Designing, Doing, and Getting it Done*** was delivered under the umbrella of the New Zealand Social Statistics Network. In addition to learning more about the theory behind the research practice she is already familiar with in the Whakauae setting, Mel got to learn ***“more about the three PhD students [doing the course]***

***and their thesis topics. We did some group work on observation, and the importance of it, as well as discussing their target audiences, who they might interview and why, thinking about how many interviews will be required etc”.*** She appreciated the opportunity to usefully contribute her working knowledge to the development and refinement of the students' interview schedules.



*Mel Potaka-Osborne (centre) with course facilitator, Dr Delwyn Goodrick (far right) and fellow participants.*

### >> Consideration given to our succession planning needs, particularly for the research team

A further element of our succession plan was implemented with the targeted short-term contracting of senior researcher, Dr Tanya Allport. Tanya was identified as a good ‘fit’ with the Whakauae research programme and was accordingly invited, in late 2019, to join us in designing and implementing a Whakauae-led research project. It soon became clear that, as well as sharing in the research aspirations of Whakauae, Tanya complemented the Whakauae team. In mid-2020, she was offered a position with Whakauae as a senior member of the research team, based in Tāmaki Makaurau, which she accepted. Dr Heather Gifford will provide Tanya with leadership mentoring with a longer term view to Tanya assuming a leadership role with Whakauae. A pōwhiri to welcome Tanya was held in September 2020, having been unavoidably delayed due to the COVID-19 pandemic restrictions.

With a background in Māori health and wellbeing research, Tanya has a passion for Kaupapa Māori research, and research that effects positive change in the community. Her whakapapa is to Te Ati Awa (Whanganui-a-Tara) from her father's side, and German from her mother's side. Spending her early childhood years living in Germany, Tanya returned to New Zealand to finish her schooling and attend the University of Auckland, where she gained her PhD in Comparative Literature, writing about a ‘triple trauma’ model in Māori and German literature. Tanya has worked in Māori health and education, Treaty of Waitangi research, and most recently led WAI-Research, the research unit of Te Whānau o Waipareira Trust before coming to Whakauae.



*Following the pōwhiri 29 September 2020. From left: Tom Johnson, Hector Kaiwai, Dr Tanya Allport, Whakauae Chair Peter Fraser, Neville Lomax and Utiku Potaka.*

## **OBJECTIVE 2: ENSURING OUR STAFF HAVE OPPORTUNITIES TO LEARN AND PRACTICE TIKANGA MĀORI IN ALL ASPECTS OF OUR BUSINESS FULLY EMBEDDING TIKANGA MĀORI IN THE CULTURE OF WHAKAUAE**

### **2020 Milestone – Whakakaha tātou i a tātou**

Consistent with the unique position of Whakauae in the research world, as the only Iwi-owned health research centre, we took up the opportunity to contribute to the Ngāti Hauiti response to managing the 2020 impacts of COVID-19 on Hauiti whānau. In the early phases of the pandemic, Dr Heather Gifford assumed a key leadership role in coordinating the Iwi response through the Hauiti COVID-19 Leadership Team (HCLT) drawing on her expertise and experience in public health. She was supported in this mahi by Mel Potaka-Osborne, who took on an administration and coordination function for the HCLT, and Gill Potaka-Osborne, who supported the HCLT with their communications. Te Ranga Tupua, comprising the Iwi Chairs of the Rangitikei, Ruapehu, Whanganui and South Taranaki rohe, was also supported by Dr Amohia Boulton who acted as a technical advisor in their long-term response work. Meanwhile in Te Matau a Māui, Dr Aria Graham provided support and advice to her iwi in its response to COVID-19 in addition to continuing her Whakauae mahi.

In October 2020, the Whakauae team participated in a Kaupapa Māori theory wānanga led by Dr Aria Graham with support from Dr Tanya Allport. The focus of the wānanga was to provide an opportunity for the team to review Kaupapa Māori theory and its application in practice.



*Dr Aria Graham facilitating the Kaupapa Māori theory wānanga with the Whakauae team*





## PLATFORM FIVE:

### Translation, Uptake and Impact (TUI)

Research findings advocated for forceful action resulting in positive change in the lives of iwi / Māori

#### OBJECTIVE 1: CREATION OF AN INTERNAL RESEARCH CULTURE THAT PRIORITISES TRANSLATION, UPTAKE AND IMPACT (TUI)

##### 2020 Milestone - Mana enhancing communication with external stakeholders

Dr Tanya Allport led the development of the Whakauae TUI Dissemination Action Framework during the year. The framework was refined by the team prior to being considered and endorsed by the Whakauae Board of Directors. The framework operationalises our TUI model of dissemination and is intended to be a tool for use by the team throughout the research process. Like considerations of other essential parts of the research design process, it is envisaged that the framework will be used in the planning phase of any new project and will become part of the “business as usual” for Whakauae project design. While ideally the resulting dissemination plan will be set out at the beginning of each project, the framework can also be used as a tool that guides the evolution and refinement of dissemination at key stages throughout a research project.

The Whakauae TUI Dissemination Action Framework includes three stages: Stage 1 – Mahi Hoahoa (Planning), Stage 2 – Whakatinana (Implementation) and Stage 3 – Aromātai (Evaluation). Each stage requires specific actions and considerations, which may be implemented once or more throughout research project phases, to allow for reflexive, iterative dissemination plan adjustments.

Alongside development and adoption of the TUI Framework, the COVID-19 pandemic significantly contributed to accelerating the TUI aspirations of the whole Whakauae team. All three senior researchers were involved in presenting and / or facilitating webinars and / or in presenting and participating in virtual conference proceedings during the year. The need to effectively engage with evolving communications technology, in order to successfully disseminate research results, presented a host of unanticipated challenges for the team that nevertheless contributed to advancing TUI practice.

Meanwhile, in mid-2020 the Campbell Squared communications report commissioned by Whakauae was completed. The team has since critically reviewed the report's recommendations identifying priorities for implementation. In line with those priorities, and the TUI Dissemination Action Framework more generally, Research Director Dr Amohia Boulton and Senior Researcher, Dr Tanya Allport participated in a Ngā Pae o te Māramatanga-funded Media Savvy Training workshop for Māori Researchers in December 2020. Held in Auckland over two days, this intensive, practice-based workshop equipped both Amohia and Tanya with invaluable media engagement tools and techniques.

In a next step to support mana enhancing communication with external stakeholders, planning was carried out late in the year for the wider Whakauae team to benefit from taking part in a tailored inhouse communications workshop. The workshop will be developed and led by a Media Savvy Training facilitator in the first half of 2021.



*Dr Amohia Boulton trials the news reading role during the Media Savvy Training Course*



# OTHER SELECTED HIGHLIGHTS OF THE 2020 YEAR

Highlights for the Whakauae team during 2020 included:

## SUPPORTING INTERNATIONAL INDIGENOUS HEALTH RESEARCH

Dr Christina Oré, Senior Advisor at the Seven Directions Center for Indigenous Public Health in the United States, met with the Whakauae team in Whanganui in March 2020. The Seven Directions Center is located at the University of Washington in Seattle, Washington however Christina is based in Tucson, Arizona where she was born and raised. She is an Indigenous descendant from Ayacucho-Huancavelica (Peru) with family in Mexico, Peru, and the United States. Christina's visit to Whanganui was prompted by meeting Dr Amohia Boulton, at an international Indigenous research conference convened by Ngā Pae o Te Māramatanga, some time ago.

On this return visit to Aotearoa New Zealand, Christina specifically travelled to Whanganui to foster a relationship between Whakauae, the only Iwi-owned health research centre in the country, and her own organisation, the first Indigenous public health institute in the US. The work of the Seven Directions Center is focused on Indigenous knowledge, grounded in self-determination/ self-governance, and in support of tribal and urban Indian health programme systems strengthening. Its mission is "to create greater connectivity across the many tribes, communities and organizations that have the health and wellness of American Indian and Alaska Native people at the heart of what they do".

While in Whanganui, Christina talked with the team about the influence that Amohia's Indigenous centered research paradigm had had on her own work. She outlined the type of research that is carried out by the Seven Directions Center for Indigenous Public Health and canvassed potential common research interests. Accompanying her during her visit to Whakauae were her husband Joe de Boehm, a teacher, their daughter Munai and son Tenzin.

On her return to the US, Christina continued to engage with Whakauae on establishing opportunities for collaboration. That work has resulted in the development of a joint research project, ***Whakauae and Seven Directions, Coming Together in Indigenous Praxis***. The 12 month project, which will commence in January 2021, was awarded funding through the Global Innovation Fund, University of Washington Office of Global Affairs. The research will be centred on an international Indigenous community of practice.





*From left: Dr Christina Oré, Dr Amohia Boulton and Dr Heather Gifford*

This project will lay the foundation for continued global collaborative Indigenous knowledge development for improved community health and wellbeing. The recent COVID-19 pandemic has highlighted the need for Indigenous knowledge exchange, joint research and collective practice, and the development of innovative, culturally grounded approaches, tools, and training to strengthen Indigenous systems for health equity.

The joint research project aims to produce a practice brief and scholarly manuscript on topics that include tribal/Iwi health governance in response to COVID-19 and strengthening tribal/Iwi systems; comparative tribal/Iwi health systems and implication for alignment; data sovereignty/ governance within tribal/Iwi systems; traditional healing integration in all health; and Indigenous methodologies in research and evaluation.

## OTHER SELECTED HIGHLIGHTS CONTINUED:



*Te Maru o Ruahine Trust General Manager, Robert Martin and Rūnanga Chair, Thomas Curtis at the Hui a Tau.*



*Adrian Wagner and Dr Heather Gifford at the Hui a Tau*

## HUI A TAU

The 2020 Ngāti Hauiti Hui a Tau was one of the many events delayed as a result of the COVID-19 pandemic Level 4 lockdown and Levels 2 and 3 restrictions. However, it was eventually held on Saturday 04 July and was well attended by whānau who once again relished the opportunity to spend time together kanohi ki te kanohi. Along with Dr Heather Gifford, Whakauae was also represented at the hui by Research Director, Dr Amohia Boulton and Business Manager, Sonja Loveridge.



*From left: Ngāti Hauiti kaumātua Uncle Neville Lomax and Aunty Erina Mete-Kingi Anson with Gill Potaka-Osborne (Whakauae) at Winiata Marae.*



## WALKING ALONGSIDE AN EMERGING MĀORI HEALTH RESEARCHER

Tom Johnson's interest in research as a vehicle for achieving wellbeing was further stimulated when he took part in the *Taupua Mana Taupua Waiora – Lifting Health by Enhancing Mana Symposium* convened by Whakauae in Whanganui in November 2019. Tom, along with several of his Te Oranganui Trust colleagues, joined us at the symposium where locals had the opportunity to hear from Māori health researchers with a national profile including Dr Isaac Warbrick (Ngāti Te Ata, Te Arawa, Ngā Puhī), Professor Denise Wilson (Ngāti Tahinga), Associate Professor Jacquie Kidd (Ngā Puhī) along with Emma Rawson (Ngāti Ranginui, Ngāi te Rangi, Ngāti Raukawa).

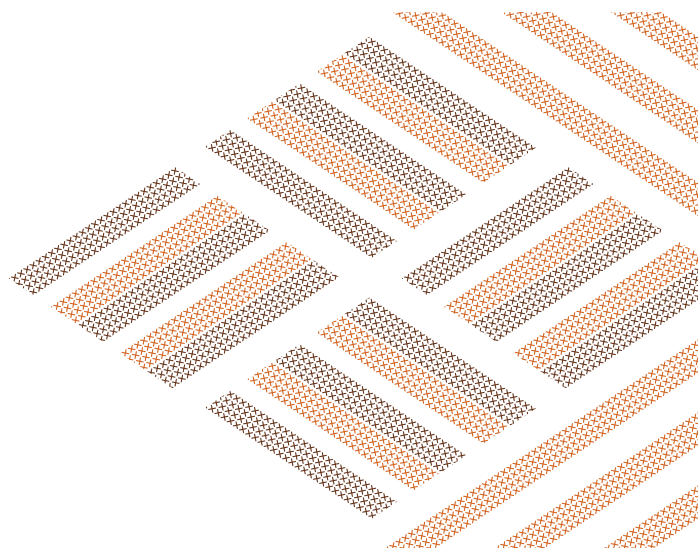
After discussion with Dr Amohia Boulton in 2020, Tom took up an opportunity to further develop his thinking around a potential doctoral research project through the award of a Whakauae 2020 – 2021 Summer Studentship.

Tom completed his master's study at Victoria University, focussing on rangatahi motivation and drawing on lessons and tools from our tupuna in maramataka, taonga puoro, and tākaro. He has a strong interest in exploring how Māori men keep themselves well: what are the conditions for fostering self-determination, efficacy and resilience? How do Māori men move through obstacles toward wellbeing? Since taking up his studentship with Whakauae late in 2020, Tom has been further considering some of these questions. He has begun a literature review exploring solutions in the academy as well as on the ground to find out what is happening in the space of wellbeing for tane. He asks "when there is a movement of Māori men, who are experiencing wellness through their own activities outside of the mainstream health settings I wonder if their stories of success are being recorded? Mainstream services would need to engage their kōrero as all services designed are only effective when the user is involved in the creation of the service. This is the same for effective policy for government departments".

Dr Tanya Allport is providing Tom with academic supervision and is working closely with him to prepare his doctoral research proposal.



Tom Johnson, Whakauae 2020-21 Summer Studentship recipient





## OTHER SELECTED HIGHLIGHTS CONTINUED:

### WAITANGI TRIBUNAL HEARING AT WINIATA MARAE

In early November 2020, a further Waitangi Tribunal hearing in the Wai 2180 Taihape: Rangitikei ki Rangipō claim process was hosted at Winiata Marae, ŌTaihape. Along with claimants, including Ngāti Hauiti and the wider Mōkai Pātea Nui Tonu whānau, Whakauae attended the pōwhiri held at Winiata on Monday 02 November.

Mid-week, we returned to Winiata to tautoko the closing submissions, made on behalf of the Wai 2180 Mōkai Pātea Nui Tonu claimants by lawyer Leo Watson, before Waitangi Tribunal Panel Members Judge Layne Harvey, Professor Pou Temara, Dr Monty Soutar and Dr Angela Ballara (the latter by audio-visual link). Many uri of Ngāti Hauiti were at Winiata Marae to hear and support the closing submissions. Waitangi Tribunal Panel Members will reconvene at Rātā Marae early in 2021 to hear the closing Crown submissions in the Wai 2180 Taihape: Rangitikei ki Rangipō claim process.



*Waitangi Tribunal Hearing programme for the Wai 2180 Claim*



*From left: 2020 Ngāti Hauiti Rūnanga members Pania Winterburn and Mina Bourke at Winiata*



*Hoani with Auntie Erina Mete-Kingi Anson at the luncheon.*



*Christine and Neville Lomax at the Christmas luncheon at Rātā Marae*

### CELEBRATING YEAR'S END WITH WHĀNAU

Whakauae joined Ngāti Hauiti whānau including kaumātua, the Rātā Marae Committee and Te Maru o Ruahine Trust, at a luncheon held at Rātā Marae in December 2020. The luncheon celebrated Christmas and year's end providing an opportunity to join together and enjoy each other's company despite the ongoing stresses, including enforced social isolation, wrought by the global COVID-19 pandemic. Hosted by the Rātā marae committee, the luncheon was supported by the Committee's intensive and tireless fund-raising efforts throughout the year. It was very well attended by whānau, from as far afield as Te Whanganui a Tara, and by the wider community from around the Rangitikei rohe.

Despite the wet and cool conditions, a large rūpū was present for the pōwhiri. Uncle Neville Lomax later addressed the crowd, providing a brief history and whakapapa of Rātā Marae for those who had not previously visited. During the luncheon, the marae committee coordinated entertainment including random prize draws, waiata and music provided by Frano Sadlier.





# RESEARCH PROJECTS

## WHAKAUAE-LED PROJECTS

Funder	Contract title
Health Research Council of New Zealand (HRC)	<p>D3: Data, Decision-making and Development – Using Data to Improve Health Outcomes</p> <p>Māmā e Mamia: Piloting a marae-based wellbeing model for pēpi and māmā Māori</p> <p>Te Ao Rauropi: Mapping the Biosphere of Rongoā Māori</p>
Ministry of Health	Rangatahi Health and Wellbeing Innovation Project Evaluation (Te Oranganui Trust)
Ngā Pae o te Māramatanga	Perceptions of Papakāinga: An examination of 'home' and how to cater services for Māori
Oranga Tamariki Ministry for Children	Māori Centred Social Work Practice Evidence Brief
Te Hīringa Hauora Health Promotion Agency	'Tapestry of Truth' Rangatahi Wellbeing Project Evaluation



### D3: Data, Decision Making and Development – Using Data to Improve Health Outcomes

Start / Finish Dates:	01 August 2017 – 01 March 2021
Lead:	Dr Amohia Boulton
Research Team:	Dr Heather Gifford, Gill Potaka-Osborne, Lynley Cvitanovic (Whakauae), Associate Professor Tim Tenbensel (School of Population Health, University of Auckland), Dr Pat Neuwelt Kiri Parata, Teresa Taylor (independent researchers)

#### BRIEF DESCRIPTION

Routinely collected health data has been successfully used to describe ‘the problem’ of persisting inequalities and to inform potential ‘solutions’. However, increasing attention is now being paid to data utility and relevance. Using a Kaupapa Māori approach, this three-year study is exploring how routinely collected Māori specific health data, gathered at the DHB level and reported by the Ministry of Health, can be optimally used by Māori leaders and DHB leaders and decision-makers to stimulate improvements in health outcomes for Māori. Three case study DHBs are participating in the study conducted over three phases: an examination of current data utilisation practices; understanding facilitators and barriers to using data in health services planning; and in the translation phase, communicating successful strategies to the wider sector. The study will highlight the processes, resources, skills and time needed to transform DHB level data into decisions and actions that improve hauora Māori.

#### OUTCOMES / ACHIEVEMENTS

The D3 study was expected to conclude in mid-2020. Unavoidable delays, resulting from the COVID-19 global pandemic however, meant that the translation phase of the research was extended to 01 March 2021. During 2020, this translation phase included workshopping study results with DHB partners in sensemaking processes and exploring how the research results may be implemented in practice. The study has successfully highlighted the processes, resources, skills, and time needed to transform DHB level data into decisions and actions that improve hauora Māori.

Several recommendations have been developed to strengthen decision-making that, if implemented, would stimulate improvements in health outcomes for Māori. Among these are greater involvement of Māori in data interpretation, problem identification, and decision-making regarding health service changes; ensuring that closing of equity gaps becomes business as usual for DHBs and is built into systems rather than being reliant on one off interventions championed by individuals; and the need for greater recognition of mātauranga and Māori skills and knowledge as key to solutions for improving Māori health gain. Planning for the further communication of successful strategies to the wider sector has also been undertaken.

The first of a planned series of peer-reviewed journal papers, ‘Making health data work for Māori: attitudes and current challenges’ was published in *Policy Quarterly* mid-year. A second paper has been submitted for publication and is currently under review. A third paper is in the final stages of preparation for submission.

**Māmā e Mamia: Piloting a marae-based wellbeing model for pēpi and māmā Māori**

Start / Finish Dates:	01 January 2020 – 31 December 2022
Lead:	Dr Aria Graham

**BRIEF DESCRIPTION**

This 36-month postdoctoral research project builds on Dr Aria Graham's PhD research (2018) which explored young Māori mothers' experiences of pregnancy, birth and motherhood. Aria's PhD research concluded that young Māori mothers and their pēpi flourish when they have strong connections to te ao Māori. Having access to mātauranga Māori that acknowledges and empowers their mana as women is of significant benefit for māmā. Services that provide care for māmā pre and post childbirth must, to be effective, encompass access to te ao Māori and be spaces where cultural knowledge and practice is normalised. Few existing services, however, adequately address the needs of māmā.

Dr Graham's doctoral research included the development of 'Te Purapura Kūmara: A philosophical framework for nurturing tamariki Māori' which provides the philosophical basis for a care model which seeks to enhance the wellbeing of pēpi/tamariki and māmā Māori (Te Kōpū). The model, Māmā e Mamia, draws on the importance of sustaining Te Kōpū within the environment (Te Kāinga). Through her post-doctoral research Dr Graham will, with the support of her whānau, Waipatu Marae and her wider network, pilot and evaluate the Māmā e Mamia model in a marae-based setting. The research is being supervised by Dr Amohia Boulton (Whakauae) and Professor Denise Wilson (Taupua Waioara, AUT).

**OUTCOMES / ACHIEVEMENTS**

In the first half of the year, Aria and her whānau, friends and community focused on setting up the physical space on the marae which will be the base for the Māmā e Mamia pilot. With no external funding for capital works, and relying entirely on extensive whānau and community networks, a homely space was created through koha, goodwill, and kindness. COVID-19 level 4 Lockdown posed an obstacle to progress however this was overcome with the tireless efforts of Aria and whānau once levels had dropped.

Alongside that mahi, manaaki networks to support the pilot were maintained and extended. Whānau, community, and services were recruited through the socialisation of the impending pilot with relationships continuing to grow. Several services and whānau have indicated they would like to refer wāhine to the pilot. The programme theory (PT), intervention logic (IL) and theory of change (TC) underpinning the pilot continue to be refined. A contract was negotiated with the Hawke's Bay District Health Board to fund a kaimahi for Mamia. Aria persevered and maintained that the agreement be based on the kaupapa of Mamia. Ethics approval for the postdoctoral research running alongside the Māmā e Mamia pilot was granted at the end of 2020. The advisory groups supporting both the research and the pilot are being kept updated on

progress. Guidance has emerged organically through kaumātua and rangatira from across the community and the rohe.

Aria has received ongoing invitations to partner and /or advise on a variety of projects but has gradually pulled back to focus her efforts on her postdoctoral research and on the implementation of the pilot.



From left: Andi, Waimarie and Dr Aria Graham in the Māmā e Mamia whare at Waipatu Marae, Te Matau a Māui.

## Te Ao Rauropi: Mapping the Biosphere of Rongoā Māori

Start / Finish Dates:	01 September 2020 – 31 August 2023
Lead:	Dr Amohia Boulton
Research Team:	Dr Tanya Allport, Gill Potaka-Osborne (Whakauae), Dr Glenis Mark, Donna Kerridge (independent researchers)

### BRIEF DESCRIPTION

A significant gap exists between our understanding of Rongoā at its most fundamental and its potential to rebalance spirit, land, people. To date, no single study has attempted to ascertain the wider range and diversity of wellbeing benefits to which Rongoā contributes, both to the people and to the environments we inhabit. Building on previous work undertaken by members of the research team, this study is investigating the breadth and depth of the practice of Rongoā to identify how, and in what manner, Rongoā delivers benefit within our communities. The research seeks to articulate the contribution that Rongoā makes to the health and wellbeing of the Aotearoa New Zealand population and our environments.

### OUTCOMES / ACHIEVEMENTS

Steps to establish the research were taken in the last four months of the year immediately following the signing of the research contract with the HRC. The development of a research plan was led out by Dr Glenis Mark. An ethics review application was also prepared for submission to Te Roopu Rapu I te Tika / the New Zealand Ethics Committee. We expect ethics approval early in 2021 positioning us to then begin the work of recruiting sites to the study. A research advisory group was established and will convene, for the first time, in February 2021. The writing of the first paper from the study, a position piece on the breadth of Rongoā Māori, also commenced in the closing months of 2020.



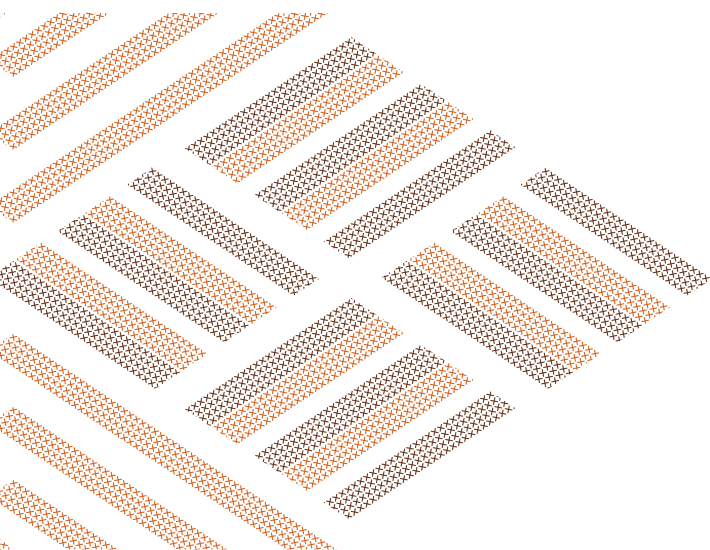


### Rangatahi Health and Wellbeing Innovation Project Evaluation (Te Oranganui Trust)

Start / Finish Dates:	01 April 2019 - 30 June 2022
Lead:	Gill Potaka-Osborne
Research Team:	Mel Potaka-Osborne, Lynley Cvitanovic



*Rangatahi Health and Wellbeing Innovation Project participants and whānau at their July 2020 graduation at Te Oranganui*



#### BRIEF DESCRIPTION

The Rangatahi Health and Wellbeing Kaupapa Māori initiative is being developed and delivered by Whanganui-based Māori health and social services provider, Te Oranganui Trust. The initiative is a Ministry of Health-funded Te Kakano Innovation Project. It builds on the experience of Te Oranganui in their recent delivery of a rangatahi pilot programme. The initiative will extend current practice by including a specific mātauranga Māori approach and co-design methodology intended to actively engage rangatahi (16-22 years). The initiative aims to link participating rangatahi to Te Awa o Whanganui and to te taiao and address the range of issues that these young people face by connecting or reconnecting them with whānau. Te Oranganui has commissioned Whakauae to evaluate the initiative. A developmental evaluation is being conducted inclusive of formative, process and outcome components. The evaluation is exploring if, and how, the initiative is contributing to enhancing the health and wellbeing of rangatahi and their whānau.



*Gill and Mel Potaka – Osborne (centre, front row) with a group of Innovation Project participants and kaitūruki*

## **OUTCOMES / ACHIEVEMENTS**

Both the programme and evaluation were impacted by COVID-19 Lockdown between March and June 2020. During this period, the internet and the telephone became the key means of communication and engagement for both programme delivery and programme evaluation. In 2020, the programme saw several changes; a new programme manager was appointed in April and a team leader was appointed in the second half of the year.

A key element of the evaluation work has been Gill Potaka-Osborne's development of the Awa Hikoi Tool. The Tool is being used to support the ongoing collection of evaluation data. A 2020 programme evaluation highlight has been working with kaitūruki (frontline workers and the coordinator) and Inferno Design to further refine the Awa Hikoi Tool. It can now be used either in 'hard copy' or electronically depending on circumstance and need.

A further achievement has been building the evaluation capability of the kaitūruki. The evaluator and kaitūruki met several times during the year to explore how best to carry out the various components of evaluation data collection. As a result, kaitūruki successfully led the final data collection activity for the year in addition to leading the rangatahi graduation ceremony. The graduation ceremony provided the evaluator with a further opportunity to observe the progress of rangatahi participants as well as to engage with the whānau members of participants and with other stakeholders concerning the value of the programme.

A formative evaluation report was submitted to the Ministry of Health, in August 2020, and accepted.

### Perceptions of Papakāinga: An examination of 'home' and how to cater services for Māori

Start / Finish Dates:	01 December 2019 – 30 November 2020
Lead:	Dr Amohia Boulton
Research Team:	Hector Kaiwai, Rewa Harker (WAI Research, Te Whānau o Waipareira Trust), Dr Tanya Allport, Gill Potaka-Osborne (Whakauae)

#### BRIEF DESCRIPTION

The Perceptions of Papakāinga project is a collaborative effort between Whakauae Research and Wai-Research, the research arm of Te Whānau o Waipareira Trust. The project seeks to understand the views, attitudes, and perceptions of Māori community members in two distinct communities (one rural, one urban) concerning what "home" means to them. We will explore how participants' views of "home" may relate to concepts such as identity, whakapapa, and hauora. Furthermore, we will examine how peoples' thoughts about home then impact the decisions they make about the types of health services they need and how they access those services to enable them to flourish in their home. Early findings from this study have been used as the basis for scoping a larger research project included in the research programme grant application submitted to the HRC by Whakauae in October 2020.

#### OUTCOMES / ACHIEVEMENTS

An annotated bibliography was compiled, and a comprehensive literature review completed, in the early phases of the project. The review, *Haukāinga – A Review of Māori concepts of 'home'* was published by Whakauae in August 2020. The review became the first paper to be published in the recently launched *Te Pūtaka - Whakauae Raro Occasional Series*. A study ethics proposal was also prepared early in the year. The proposal was submitted to, and approved by, both the Waipareira in-house ethical review board and the New Zealand Ethics Committee. Fieldwork commenced in May and analysis of the data was independently conducted by the members of the research team during July – August. A kanohi ki te kanohi hui to carry out joint "mahi-a-rōpū" analysis was conducted in Whanganui in late September 2020, resulting in the drafting of an outline for the first manuscript from the project. This paper was completed in December 2020 and submitted to a NZ journal for review at the end of 2020. The work was also presented at the *International Indigenous Research Conference*, a virtual conference hosted by Ngā Pae o te Māramatanga, from 18-20 November 2020.



Perceptions of Papakāinga research team members. From left: Hector Kaiwai, Dr Tanya Allport, Dr Amohia Boulton and Gill Potaka-Osborne





## Māori Centred Social Work Practice Evidence Brief

Start / Finish Dates:	01 April 2020 – 31 July 2020
Lead:	Lynley Cvitanovic
Whakauae Researcher	Dr Amohia Boulton

### BRIEF DESCRIPTION

Early in 2020, Oranga Tamariki commissioned Whakauae to develop an evidence brief to support moving its frontline social work practice to a Māori centred paradigm. An exploration of Māori centred knowledge and evidence streams that preference Te Ao Māori worldviews was an integral component of the brief. The Ministry has selected mana enhancing practice as a key driver for the development of systems, policy, practices and services to support the shift to a Māori centred position.

The evidence brief set out to identify (1) the evidence on successful Māori-centred social work practice (2) specific components of mana-enhancing practice (3) Indigenous assessment methodologies, models and approaches in statutory and non-statutory child and family welfare systems and (4) the application and sustainability of Indigenous/Tangata Whenua/ Bicultural assessment methodologies, models and approaches.

### OUTCOMES / ACHIEVEMENTS

The Evidence Brief was successfully developed working alongside Dr Leland Ruwhiu, Dr Moana Eruera and Marlane Welsh-Sauni (Professional Practice Group, Oranga Tamariki) and Davina Jones (Evidence Centre, Oranga Tamariki). A preliminary draft of the document was made available to the Chief Social Worker (Oranga Tamariki) prior to his appearance before a Waitangi Tribunal urgent inquiry hearing in Wellington in August 2020. The urgent inquiry was in part concerned with why tamariki Māori are disproportionately taken into state care. The Evidence Brief was also used by Oranga Tamariki to inform its refresh of the Practice Framework later in 2020. The Brief is expected to be published by Oranga Tamariki, and available on the websites of both the Ministry and Whakauae, early in 2021.

**'Tapestry of Truth' Rangatahi Wellbeing evaluation**

Start / Finish Dates:	08 May 2020 – 10 December 2021
Lead:	Gill Potaka-Osborne
Whakauae Researcher:	Lynley Cvitanovic

**BRIEF DESCRIPTION**

The **'Tapestry of Truth' Rangatahi Wellbeing initiative** is being developed and delivered by Whanganui-based Māori health and social services provider, Te Oranganui Trust. The aim of the project is to engage rangatahi with alcohol and other drug-related issues in a process that will support them to identify and achieve their own goals using a Whānau Ora outcomes approach.

Te Hīringa Hauora Health Promotion Agency (HPA) is funding implementation of the **'Tapestry of Truth'** initiative over an initial two-year period. The HPA has additionally commissioned Whakauae to carry out an evaluation running alongside the initiative. The evaluation will determine the effectiveness of a Kaupapa Māori approach in engaging 'at risk' rangatahi in Whanganui through a focus on the successes and challenges of the **'Tapestry of Truth'** initiative. It will explore the impact of the initiative on rangatahi Māori wellbeing whilst additionally building Māori health service provider evaluation capability and supporting Te Oranganui to engage with other stakeholders on the merits of their **'Tapestry of Truth'** approach.

**OUTCOMES / ACHIEVEMENTS**

In mid-2020, Whakauae prepared a **'Tapestry of Truth'** evaluation plan and a research ethics application that was submitted to, and approved by, the New Zealand Ethics Committee. It was agreed with the provider that initial data collection would include evaluator observations of rangatahi participation in selected project activities. Observation was selected as a key data collection method with the intention of growing the familiarity of the rangatahi both with the presence of the evaluator and with evaluation itself. Familiarity is expected to 'pave the way' for the addition of other data collection activities.

All observations were written up by the evaluator in her field notes and shared with the **'Tapestry of Truth'** team on an ongoing basis. The field notes were able to be utilised by the provider in its programme reporting. A focus group interview was carried out with the **'Tapestry of Truth'** kaitūruki and their manager later in the year when a further data collection plan was also prepared. That plan will support the ongoing collection of data with the second intake of participants scheduled for February 2021.







# WHAKAUAE SUB-CONTRACTED PROJECTS

Contracting Organisation	Short Title of Contract
McDonald-Sporle Ltd	Te Hao Nui
University of Auckland	Pae Herenga: An investigation of Māori whānau end of life cultural care and customs Māori Data Sovereignty
University of Otago	Te Ara Auahi Kore (TAKe)
Victoria University of Wellington	'Enhancing Primary Health Care Services to Improve Health in Aotearoa New Zealand The role of whānau in coronial processes and rangatahi suicide prevention



## Te Hao Nui

Funder:	Health Research Council of New Zealand
Start / Finish Dates:	01 August 2018 – 31 July 2021
Lead:	Andrew Sporle, McDonald Sporle Ltd
Whakauae Researcher:	Dr Amohia Boulton (Co-Investigator)

### BRIEF DESCRIPTION

This project will be a longitudinal study that will link together the data from Te Kupenga, the Integrated Data Infrastructure (IDI) and the Longitudinal Census Database to create the world's largest and most comprehensive indigenous longitudinal study capable of following individual pathways forwards and backward in time. The focus of the work is to use the existing data to explore the determinants of rangatahi wellbeing as defined by two local communities and one DHB. Linking into existing iwi and regional Māori development plans, these regional projects will produce information that will immediately inform the delivery of services and interventions to improve rangatahi wellbeing, while providing templates for the approach to be replicated by other communities and regions. Focusing on informing local interventions and policy, the study will transform national statistics into locally accessible information linked into service delivery planning and evaluation at the iwi, rohe as well as regional levels. It aims to create a resource within the official statistics system that can be used for research, evaluation, and monitoring of rangatahi wellbeing on an on-going basis.

### OUTCOMES / ACHIEVEMENTS

The COVID-19 level 4 lockdown resulted in significant delays to some parts of the project due to travel restrictions and the altering of priorities for some Crown organisations and communities. In addition, the Principal Investigator's statistical expertise was redeployed to support the pandemic response for Māori, which further compromised progress on the project. An amended project plan is being developed to take account of the delays posed by the lockdown, which will incorporate a six-month, time-only extension. Other project activities such as writing for publication, engagement with policy and decision-makers; the production of conference papers and workforce development are all tracking to schedule. A supplementary and closely related piece of work with MSD has been confirmed which will provide additional resource for the community-level work. The project has already garnered an international profile with strong interest in the work being shown by the Australian Department of Prime Minister and Cabinet. We expect the first peer reviewed publication from the project in the first half of 2021.

## Pae Herenga: An investigation of Māori whānau end of life cultural care and customs

Funder:	Health Research Council of New Zealand
Start / Finish Dates:	01 September 2017 – 30 August 2021
Lead:	Dr Tess Moeke-Maxwell (Te Arai Research Group, Palliative Care & End of Life Research, School of Nursing, University of Auckland)
Whakauae Researcher:	Dr Amohia Boulton (Associate Investigator)

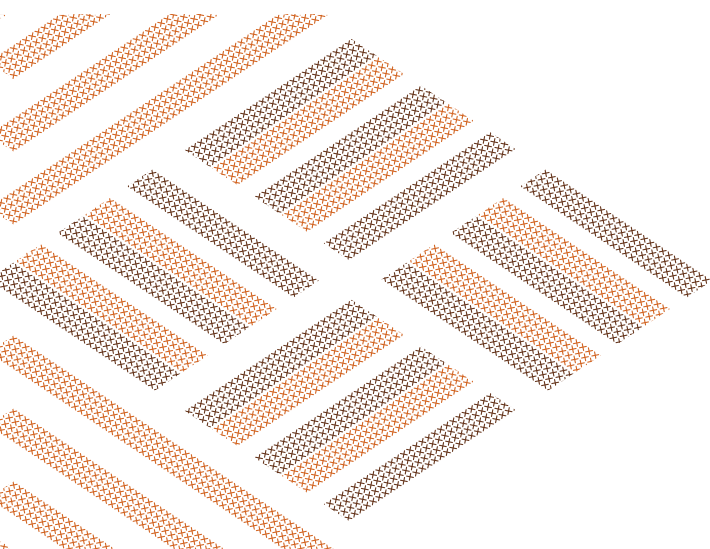
### BRIEF DESCRIPTION

The Pae Herenga study is investigating Māori end-of-life care tikanga and kawa. The study is interested in how whānau draw on tikanga and kawa to strengthen their end-of-life caregiving activities and support palliative care service provision. Māori experience of the barriers to, and facilitators of, using these care customs within different care settings (e.g., home, hospice, hospital, and aged residential care) will be identified and explored during this three-year study. Prominent leaders / rōpū from four regions of the North Island who are actively engaged in their communities supporting Māori and their whānau with life-limiting illness have been recruited to participate in the study. The study is hosted by Te Arai, the Palliative Care and End of Life Research Group at the University of Auckland and supported by Te Arai Kaumatua Advisory Rōpū.

### OUTCOMES / ACHIEVEMENTS

End-of life care and tikanga stories from more than 60 whānau across Aotearoa, including whānau in Northland, Hawkes Bay, Whanganui and Wellington have been collected. In 2020 the research team, with the guidance of Te Arai's Kaumatua Advisory Group, developed a coding framework for the analysis of the qualitative data collected and thematic analysis commenced. At a hui held in February 2020, a series of pūrākau were agreed upon to guide the coding framework and inform our analysis. The pūrākau include: Ranginui and Papatūānuku (Te Kore ki te Po, ki te Ao Marama); Tane ascending the 12 heavens to retrieve the three kete of mātauranga; and the transformation and transitioning of Hine-Titama and Hinenui-Te-Po.

Some whānau interviews have also become digital stories. These digital stories will be made available through a new website. The website was officially blessed in November 2020, at Waipapa Marae, Auckland. Over the course of the 2020-21 summer, the research team will travel to those communities that participated in the study to "unveil" the website and the stories gathered from those communities. The first of these hui was at Whitiora Marae, Mangonui, Te Tii in December 2020, with more planned in the new year. Once the website is unveiled before research participants, it will go 'live' and be available to the wider public. A range of written publications are planned from the project covering topics such as wairuatanga and end of lifecare team; Rongoā Māori; tangihanga and the role of health professionals in end of life care. A one-year extension for the project has been granted by the HRC, in light of the disruptions the COVID-19 pandemic caused to the team's ability to disseminate findings back to communities.





## Māori Data Sovereignty

Funder:	University of Auckland
Start / Finish Dates:	December 2020 – 01 April 2021
Lead:	University of Auckland
Whakauae Researcher:	Dr Tanya Allport

### BRIEF DESCRIPTION

Research Data Management (RDM) is increasingly recognised as a critical knowledge area for researchers as funders, publishers, and ethics committees introduce more stringent requirements regarding data management plans and the collection, storage and sharing of research data. The RDM Project is part of the University of Auckland's Research Delivery Programme and seeks to develop an integrated RDM framework that is consistent with international standards, including FAIR (findable, accessible, interoperable, and reusable) data principles to improve data sharing and the principles of Māori Data Sovereignty. Whakauae is contributing to the design and conduct of 'deep dive' interviews with Māori researchers and research groups as part of the RDM Stocktake.

### OUTCOMES / ACHIEVEMENTS

During December 2020, Dr Tanya Allport reviewed the RDM survey data collected by the project team in the first phase of the project. The review has positioned Dr Allport to (1) contribute to the co-design, alongside the RDM project team, of the semi-structured interview approach to be implemented in the next phase of the project and to (2) provide feedback on the interview questions developed by the team. The purpose of the interviews, to be conducted by Dr Allport in February 2021, is to supplement and extend the initial findings from the broad RDM survey.



**Te Ara Auahi Kore (TAKe)**

Funder:	Health Research Council of New Zealand
Start / Finish Dates:	01 September 2016 – 31 August 2020
Lead:	Anaru Waa, University of Otago
Whakauae Researchers:	Dr Heather Gifford (Co-Principal Investigator), Gill Potaka-Osborne, Lynley Cvitanovic

**BRIEF DESCRIPTION**

*Te Ara Auahi Kore (the TAKE Project)* is a three-year Health Research Council of New Zealand funded research project. *The TAKE Project* is being delivered in partnership with Māori health organisations and includes three research studies: surveys of tobacco control policies and interventions in participating locations (the PAI Study), a cohort study of Māori smokers (the Cohort Study) and a qualitative study of the whānau of Māori smokers (the Whānau Study). Due to factors including the impact of the COVID-19 pandemic on research activities, the study was extended by one year.

**OUTCOMES / ACHIEVEMENTS**

Reports for each participating site were written up and disseminated in the first half of the year. Members of the research team also completed drafting the first paper from the *TAKe research project*. The paper draws on results from the Whānau Study component of the research, with a particular focus on the perspectives of whānau on tobacco tax. The international journal, *Tobacco Control* has accepted the paper for publication early in 2021.

Quarterly panui for participating sites were prepared and disseminated by Whakauae during the year. A research brief for the Wellington and Tairāwhiti sites was also prepared and presented at the final Rōpū Kaitiaki hui held at the University of Otago's Wellington School of Medicine on 03 December 2020. The formal role of Whakauae in the *TAKe Project* has now concluded





## Enhancing Primary Health Care Services to Improve Health in Aotearoa New Zealand

Funder:	Health Research Council of New Zealand
Start / Finish Dates:	01 October 2018 – 30 September 2023
Lead:	Professor Jaqueline Cumming, Victoria University of Wellington
Whakauae Researcher:	Dr Amohia Boulton

### BRIEF DESCRIPTION

*The Enhancing Primary Health Care Services to Improve Health in Aotearoa New Zealand* is an HRC-funded programme of research investigating the effectiveness of the country's primary health care system. To be carried out over five years, the research is examining existing service delivery models and investigating improving service access for a range of population groups. The research programme comprises a suite of five interconnecting projects each of which focuses on a specific aspect of the primary health care system. Whakauae will contribute to the overall programme including to one project that will specifically examine Māori access to, and experience of, the primary health care system.

The title of that project is *Raranga Tāngata, Oranga Tāngata: Integrated care for Māori health and wellbeing*.

### OUTCOMES / ACHIEVEMENTS

As with the overall programme of research, the *Raranga Tāngata, Oranga Tāngata* project was significantly revised in light of the new environment created by the COVID-19 pandemic. Whereas we originally set out to investigate Māori understandings and experiences of integrated health and social services care, the response of Māori health and social services to COVID-19 has provided us with a rich source of data from which we can learn. Consequently, and under the guidance of our Rōpū Kaitiaki, we have refocused our research to better understand the impact of COVID-19 on the Māori health service provision sector and the implications of this pandemic, both positive and negative, for aspects of integration.

Outcomes of the *Raranga Tāngata, Oranga Tāngata* project this year include commencing data collection with a series of key informants; our success in being awarded a Ngā Pae o te Māramatanga-funded intern for 10 weeks over the 2020-2021 summer and the convening of our Rōpū Kaitiaki. Members of this advisory group include Whaea Moe Milne (Ngāti Hine, Ngāpuhi); Gabrielle Baker (Ngāpuhi, Ngāti Kuri); Associate Professor Matire Harwood (Ngāpuhi); Dr Chris Tooley (Ngāti Kahungunu); Wheturangi Walsh-Tapiata (Ngāti Raukawa, Ngā Rauru, Te Ati Awa, Te Ātihaunui-ā-Pāpārangī); and Tracey Wright-Tāwha (Kāi Tahu). In addition, team member Dr Nora Parore was awarded an HRC Clinical Post-doctoral Scholarship, providing her with dedicated research time on the project. Dr Boulton has also contributed to the wider programme through the provision of advice throughout the year and participating in programme team meetings.





**The Role of Whānau in Coronial Processes and Rangatahi Suicide Prevention**

Funder:	Health Research Council of New Zealand
Start / Finish Dates:	01 December 2020 – 01 December 2021
Lead:	Dr Clive Aspin, Victoria University of Wellington
Whakauae Researcher:	Dr Heather Gifford

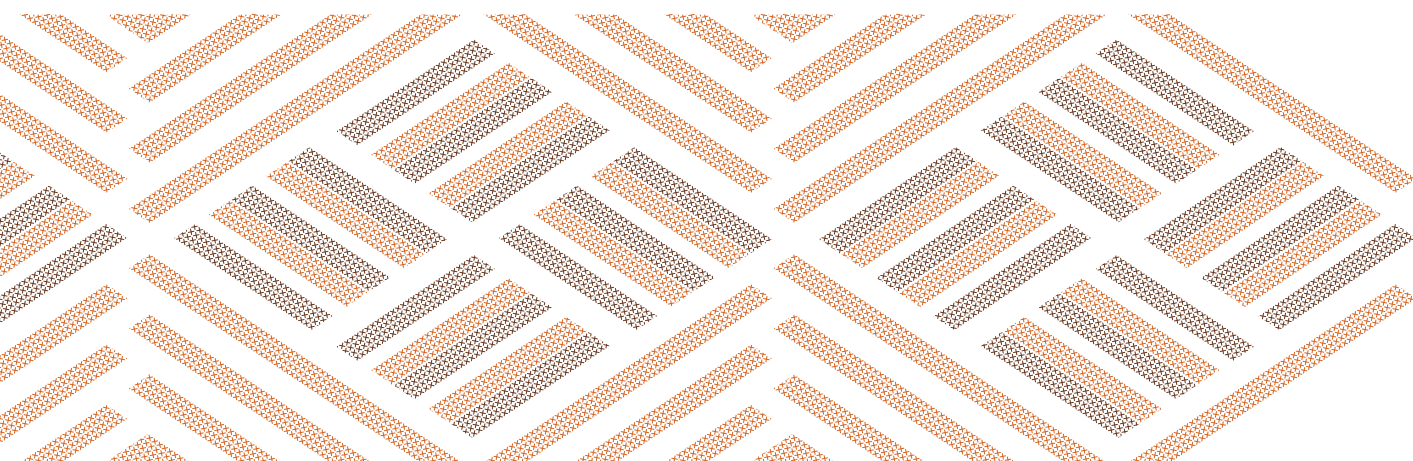
**BRIEF DESCRIPTION**

The rising rates of rangatahi (Māori youth) suicide are among the highest in the developed world and provide one of the starkest indicators of the social and health disparities that exist between Māori and non-Māori. This research will focus on building relationships with whānau affected by suicide, people with lived experience of suicide, and suicide prevention services. A programme will be co-designed with whānau to understand the extent to which their voices are heard in coronial investigations into rangatahi suicide. Their stories will be analysed to understand how whānau can be involved in coronial processes and contribute to suicide prevention.

Findings will contribute to informing policy adjustments in the health and justice sectors to enhance delivery of Māori suicide prevention and postvention initiatives. The project results will also inform the design of a project grant application to examine the multiple roles of people and agencies involved in coronial investigations and their contribution to rangatahi suicide prevention.

**OUTCOMES / ACHIEVEMENTS**

Preliminary work was undertaken in December 2020 to position the team to conduct Phase One of the study. Phase One is focused on priority setting and is being conducted in the first three months of implementation. In this phase, all coronial reports for rangatahi Māori (aged 10 to 24) for the period 2002 - 2018 are being identified and systematically examined for key information relating to whānau engagement in the coronial process.









# WHAKAUAE PARTNERSHIP PROJECTS

## Partners

## Title of Project

Tania Williams Blythe, Te Kōpū Education and Research Ltd	Whānau Perspectives on Care and Protection in the Family Court
Dr Lewis Williams, Independent Researcher	Toitū te Taiao, Toitū te Tangata



Research project mahi a rōpū hui. From left: Dr Tanya Allport, Dr Amohia Boulton, Lynley Cvitanovic and Tania Williams Blyth, Whanganui 06 March 2020



## Whānau Perspectives on Care and Protection in the Family Court

Start / Finish Dates:	01 March 2019 – 30 June 2020
Lead:	Tania Williams Blyth
Whakauae Researchers:	Dr Amohia Boulton, Dr Tanya Allport, Lynley Cvitanovic

### BRIEF DESCRIPTION

Rotorua senior lawyer, Tania Williams Blyth was funded by Oranga Tamariki to lead the *Whānau Perspectives on Care and Protection in the Family Court* research project. The two research questions guiding the study were (i) what would keep whānau who have come to the attention of Oranga Tamariki and of the Family Court better engaged in Family Court processes; and (2) what would support improved outcomes for those whānau and their tamariki? To address these questions, the study explored both the Family Court processes that ‘work’ for whānau and those that do not. The commonalities and differences in the experiences whānau have with Family Court processes were also identified.

Drawing on a simple mixed methods approach, this Kaupapa Māori study examined the understandings and experiences of over 40 whānau. Data collection was conducted using a one-off web-based survey incorporating both closed and open-ended questions. Whānau had the opportunity to self-administer the survey online with the lead researcher available to assist as required. Alternatively, whānau were able to participate in a session alongside the lead researcher to complete the survey. The research data were analysed by the research team, early in 2020, using a mahi a rōpū kanohi ki te kanohi approach.

### OUTCOMES / ACHIEVEMENTS

The research findings were published in July 2020 by Te Kopu Education and Whakauae Research Services Ltd in a report entitled, *Te Taniwha I Te Ao Ture-ā-Whānau, Whānau Experience of Care and Protection in the Family Court*. The findings highlight the struggles many whānau experience in their dealings with the Family Court. It is unique in that it presents the views of those whānau who have had recent dealings with the Family Court in relation to child care and protection issues. Whānau reported feeling disempowered by Family Court processes that operated as though whānau were not even present in the courtroom. Many felt alienated by the legal language that was used and by the practices observed.

A high level of media interest followed the release of the study findings with both Tania Williams Blyth and Dr Amohia Boulton contributing to coverage that included live interviews on *Paakiwaha*, the weekly two-hour current affairs programme on Waatea Urban Māori Radio, and on Radio New Zealand’s *Midday Report* and *Checkpoint*. The findings also featured on Channel One’s web-news and in print media coverage including in the leading national daily, *The New Zealand Herald*.

*Te Taniwha I Te Ao Ture-ā-Whānau, Whānau Experience of Care and Protection in the Family Court* has been made available to the judges of the Family Court with a view to contributing to decisions being made on the necessary changes to their operations. The study findings have been supported by Children’s Commissioner, Andrew Becroft and publically acknowledged by the Principal Judge of the Family Court.

### Toitū te Taiao, Toitū te Tangata

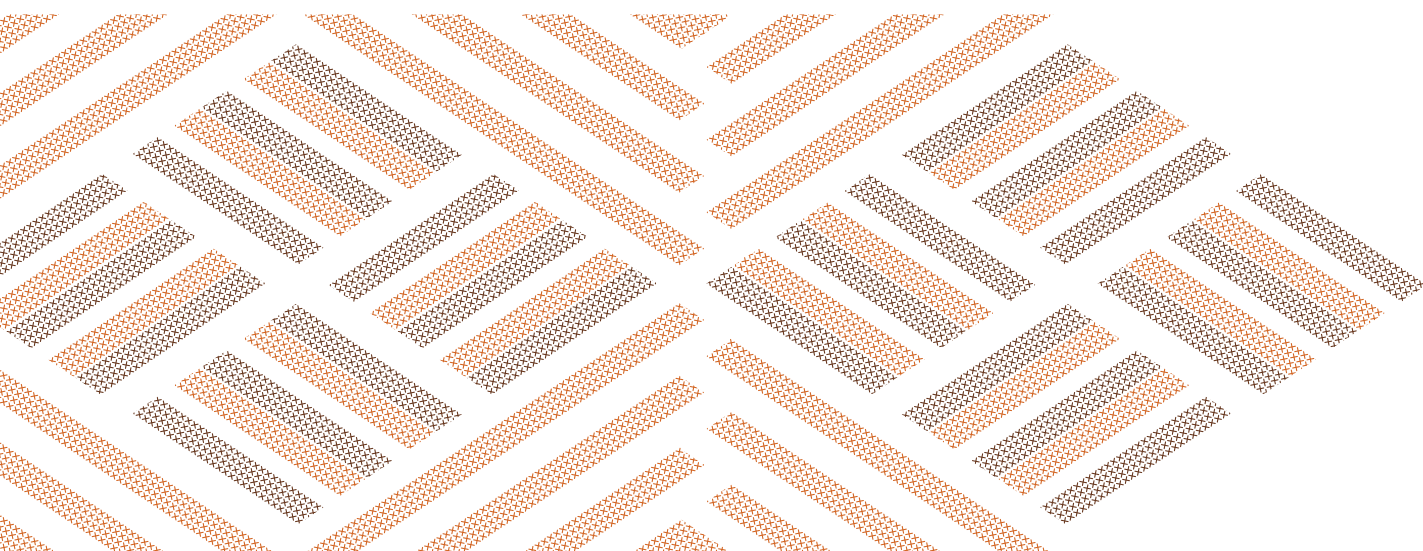
Start / Finish Dates:	01 July 2019 – 29 February 2020
Lead:	Dr Lewis Williams
Whakauae Researchers:	Dr Amohia Boulton, Gill Potaka-Osborne, Dr Aria Graham

#### BRIEF DESCRIPTION

This research project aims to situate Rongoā Māori within the broader framing of *Toitū te Taiao – Toitū te Tangata* through in depth qualitative Kaupapa Māori Research. The goal of the project is to investigate the mātauranga Māori underpinning the relationship between Rongoā Māori and Toitū te Taiao, Toitū te Tangata from the perspectives of traditional knowledge holders in three rohe: Whanganui, Tauranga Moana and Te Matau-a-Māui. The place-based approach of this research project is designed to explore the distinctions and synergies across traditional knowledge holders, iwi and rohe.

#### OUTCOMES / ACHIEVEMENTS

The prime focus for 2020 has been planning and preparation for sharing *Toitū te Taiao, Toitū te Tangata* research findings across several forums. A book chapter and a Kaupapa Māori research methodology paper are being drafted by research team members with publication in 2021 targeted. A community-level dissemination booklet is in the final stages of preparation with publication scheduled for early 2021.









# RESEARCH 'MAKING A DIFFERENCE'

How does the research that we do make a positive difference in the day to day lives of people in the community?

An example of the ways in which research can 'make a difference' is the positive impact that the **CERLS (Cultural, Ethical, Legal and Scientific) Issues of Rongoā Māori Research** (2018) guidelines are having for Rongoā Māori practitioners. The development and publication of the guidelines were the culmination of a one year research project carried out in 2017-2018 by Dr Amohia Boulton in collaboration with independent researchers, Dr Glennis Mark and Dr Marion Johnson. Published by Whakauae, in both hardcopy and online formats, the guidelines were distributed to libraries throughout Aotearoa New Zealand to help facilitate access for the Rongoā community as well as for researchers.

The exploratory study, funded by Ngā Pae o te Māramatanga, considered how Rongoā Māori information and knowledge was being accessed and used by researchers. Debate about the misappropriation of information and knowledge in research means that greater care and attention is needed regarding Māori input and participation into research. This is even more important for Rongoā Māori where matters such as inappropriate usage, intellectual property rights and commercialisation of information are of significant concern.

The study focused on exploring the multi-faceted and sensitive issues involved in future Rongoā Māori research. Data collection included a series

of two interviews with each of four representative groups with expertise in the practice, research, legal and scientific aspects of Rongoā. The 64-page CERLS guidelines document developed as a result outlines a series of strategies and recommendations, relevant to each of the CERLS categories, for both healers and researchers. The guidelines were intended to inform healers about research processes, and to inform researchers about conducting Rongoā Māori research in alignment with healing principles.

A review of the guidelines, conducted with the sector in 2020, confirmed that healers are now better informed about the role of researchers and about what questions need to be addressed before becoming involved in a study. For example, healers are now better prepared to go ahead and ask whether Māori researchers are included on any proposed research team. The CERLS guidelines have become a reference for healers. Those who participated in the review described how they now direct researchers, with Rongoā research enquiries, to the guidelines. They observed that doing this has led most researchers to either abandon the subject, or the practitioner. The CERLS guidelines are therefore being utilised by healers, to provide valuable support in ensuring that healing principles are protected and respected in Rongoā Māori research. Although we know the CERLS guidelines make a valuable contribution to Rongoā



research, the guidelines may take some time to filter through to any future researchers who wish to incorporate a Rongoā element in their research.

The CERLS guidelines document has also been a popular reference for Indigenous researchers internationally over the past two years. Researchers overseas involved in Indigenous studies have found the guidelines to be a valuable resource for informing culturally appropriate ways of

researching with other Indigenous peoples.

Though the target audience of researchers in Aotearoa New Zealand has yet to pick up and follow the guidelines, further steps are being taken by the CERLS research team to promote uptake through avenues including the HRC.



The CERLS guidelines publication

# GROWING THE MĀORI HEALTH RESEARCH WORKFORCE

Whakauae has maintained an active interest in growing the Māori health research workforce since being established in 2005. Our collaboration with Rotorua independent researcher, Tania Williams Blyth (Ngāti Pukenga, Te Arawa), provides an example of the commitment Whakauae has to growing the Māori health research workforce. In recent years, we have conducted several research projects alongside Tania, supporting her to further develop her research knowledge and skills.

Alongside her full workload as a senior family court lawyer, community legal educator and New Zealand Parole Board panel convenor, Tania has a

passion for doing research that has the potential to drive positive social change for Māori. She was initially drawn to the research agenda when impending “changes to the law ... were flagged around the care and protection of tamariki Māori” that rung warning bells for her. Tania's iwi and Māori community connections, as well as extensive experience working in the Family Court, motivated her to hear and promote the voices of whānau in the changing legislative equation. It was critical, she maintained, to listen to whānau and to hear “...what would work for them” in the care and protection space.



*Tania Williams Blyth (far right) with fellow 2016 Poipoia te Mokopuna Research Symposium presenters. From left: Associate Professor Leonie Pihama, Rihi Te Nana and Rachel Brown.*



Tania saw an urgent need for research focused on the voices of whānau and for sharing findings in the public arena.

During a casual conversation with Mel Potaka-Osborne, Tania learned about the 2013 HRC-funded workshops available to support Māori health researchers to access research funding. Soon after, she participated in a workshop facilitated in Rotorua by Drs Heather Gifford and Amohia Boulton. Inspired by Tania's research aspirations, Heather worked with her to prepare a 2013 community research funding application to the Lottery Grants Board. Funding was awarded to carry out the research, and Tania's research journey began to gain momentum. Though she claims to have had "absolutely no research skills", Tania soon discovered that elements of her legal training and experience were readily transferable to the research space, albeit requiring her to "use [her] analytical skills differently".

During 2014-2015, Tania thus successfully led the Lottery Grants Board-funded *Care and Protection of our Māori Children, our Future: A Whānau Perspective* study with support from Whakauae. She used narrative inquiry in semi-structured kanohi-ki-te-kanohi interviews to elicit stories from ten Māori whānau parents and grandparents. The research findings provided valuable insight into the positive contribution made by Māori parents and grandparents to the care and protection of tamariki/mokopuna.

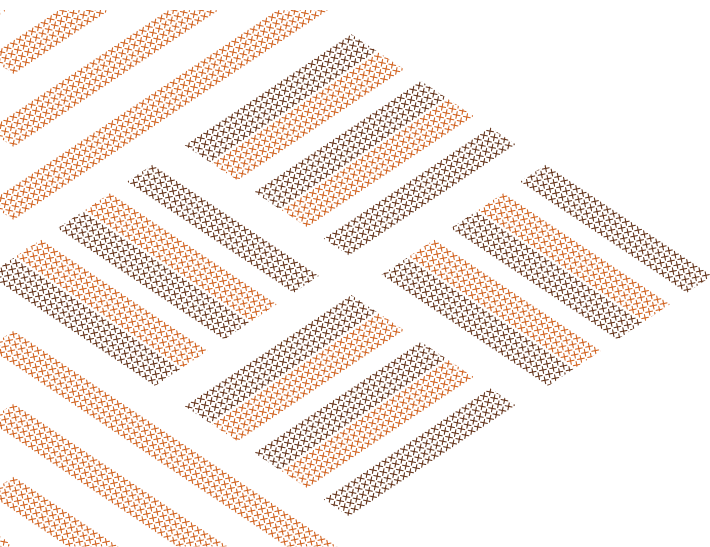
Results of that first study were used in a submission to the Social Services Select Committee on the Vulnerable Children Bill and to inform the development of the Mokopuna Ora intervention. Mokopuna Ora was trialled by Waikato-Tainui in partnership with state care and protection services. It offers navigator services for whānau negotiating state care and protection, and Family Court systems. The intervention aims to reduce the number of Waikato-Tainui mokopuna entering state care; increase the number of mokopuna who are already in state care being placed with whānau, hapū and iwi; support whānau into the role of primary caregiver; and to connect mokopuna to their iwi identity.

Tania's research results were also shared at the 2016 Whakauae-hosted *Poipoia te Mokopuna* research symposium with an audience of Whanganui regional social workers, violence intervention practitioners, community workers, Whānau Ora practitioners and Māori researchers with an interest in health outcomes.



At the 7th World Congress on Family Law and Children's Rights in Dublin, 2017. From left: Tania Williams Blyth and Dr. Amohia Boulton.

That same year, Tania presented her research findings at the World Indigenous Law Conference in California. In 2017, she co-presented results with Dr Amohia Boulton at *He Manawa Whenua Indigenous Research Conference* as well as at the *7th World Congress on Family Law and Children's Rights* convened in Dublin, Ireland. Dr Amohia Boulton also drew heavily on the research findings to inform her Keynote Address at the International Social Work Conference held in Johannesburg, South Africa in October 2017. Dr Boulton's keynote address was later published in the *Southern African Journal of Social Work and Social Development*. In 2018, a paper prepared to support the further dissemination of results among practitioners was also published in the *New Zealand Law Journal*.



Following the award of a Ngā Pae o Te Māramatanga SUPERU research seeding grant, Tania then led a further study exploring parameters for assessing the experience of Māori whānau dealing with child care and protection issues. The research team, including Dr Heather Gifford, presented their research findings at a workshop in May 2018. *Toi Tū te Whānau, Toi Tū te Kāwai Whakapapa: A workshop on Whānau and Whakapapa for Public Policy* was aimed at an audience of predominantly public sector policy advisors. The team highlighted critical issues in the Family Court, examined why care and protection cases need to be taken outside the Family Court arena and proposed the development of a new care and protection model. They also wrote *Care and Protection of Tamariki Māori in the Family Court System* (2019), the first paper to be published in the Ngā Pae o Te Māramatanga Arotahi Series.

Without pausing for breath, Tania went on to secure funding from Oranga Tamariki Ministry for Children to lead a *Māori Perspectives on Care and Protection in the Family Court* research project (refer Research Projects section of the Whakauae Annual Report 2020). The research findings were published in July 2020 by Te Kopu Education in a report entitled, *Te Taniwha I Te Ao Ture-ā-Whānau, Whānau Experience of Care and Protection in the Family Court*.

That research too was planned and conducted in partnership with Whakauae. The ongoing research partnership has supported Tania to further build on her Māori health research knowledge and skill through participating in research design, research ethics proposal development and the collection, analysis and reporting of data. In turn, the partnership has enabled Whakauae to build stronger connections between research and practice.

As Tania asserts “the academic world and that of the law need to come together” if real change is to occur for the benefit of whānau.







# RESEARCH DISSEMINATION



Journal  
Articles



Book



Book  
Chapters



Occasional  
Papers



Technical  
Reports



Thesis



Conference / hui  
presentations



Non-conference  
lectures and  
presentations



Other print, broadcast  
and online media







# RESEARCH DISSEMINATION

## JOURNAL ARTICLES

Dwyer, J., Tenbensen, T., Lavoie, A., Brown, C., Devitt, J., Myott, P., Tilton, E., & **Boulton, A.** (2020). Public administration reform for Aboriginal affairs: An institutional analysis. *Australian Journal of Public Administration*, 1-17. doi.org/10.1111/1467-8500.12422

**Gifford, H., Boulton, A., Cvitanovic, L.**, Neuwelt, P. & Tenbensen, T. (2020). Making health data work for Māori: attitudes and current challenges. *Policy Quarterly*, 16 (2), 50-56.

**Gifford, H., Cvitanovic, L., Boulton, A.** & Batten, L. (2020). Chronic Conditions in the Community: Preventative principles and emerging practices among Māori Health Services Providers. *Health Promotion Journal of Australia*, 1-9. doi: 10.1002/hpja.346

Masters-Awatere, B., Cormack, D., Graham, **R. & Brown, R.** (2020). Observations by and Conversations with Health Workers and Hospital Personnel Involved in Transferring Māori Patients and Whānau to Waikato Hospital in Aotearoa New Zealand. *Int. J. Environ. Res. Public Health*, 17, 8833.

**Williams, L.** (2020). Lessons from Aotearoa's Pōhutukawa in the 2019 International Year of Indigenous Languages and Beyond. *Journal of Sustainability Education*.

## BOOK

McClintock K. & **Boulton, A.** (Eds). (2020). *Ko toku ara rā Aotearoa, Our Journey, New Zealand COVID19 2020*. Te Kīwai Rangahau: Te Rau Ora & Whakauae Research Centre.

## BOOK CHAPTERS

**Boulton, A.** (2020). Implementing Indigenous research ethics at the interface. In L. George, J. Tauri & L. MacDonald (Eds). *Indigenous research ethics: claiming research sovereignty beyond deficit and the colonial legacy*. Emerald Publishing, Bingley, UK.

**Boulton, A. & Gifford, H.** (2020). E tū ana tātou i roto i te wao-nui-a-Tāne. In K. McClintock & A. Boulton (Eds). *Ko toku ara rā Aotearoa, Our Journey, New Zealand COVID19 2020*. Te Kīwai Rangahau, Te Rau Ora & Whakauae Research Centre.

**Boulton, A.** & Te Kawa, D., (2020). Raising waka, and not just yachts. In K. Windelov, A. Fromm & S. Austen Smith (Eds.). *Progressive Thinking: Ten Perspectives on Possible Futures for Public and*

*Community Services*. Public Service Association of New Zealand.

<https://www.psa.org.nz/assets/Uploads/ProgressiveThinking-Dr-Amohia-Deb-Te-Kawa-Raising-Waka-edited.pdf>

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**Boulton, A.**, Nee, J. & **Allport, T.** (2020). Haukāinga - A Review of Māori concepts of 'home'. *Te Pūtake - Whakauae Raro Occasional Paper Series*, No 1, Whakauae Research, August.

**Boulton, A.**, Levy, M. & **Cvitanovic, L.** (2020). Beyond Pūao-Te-Ata-Tu: Realising the promise of a new day. *Te Arotahi Paper Series*, No 6, Ngā Pae o te Maramatanga, December.

## TECHNICAL REPORTS

**Boulton, A.**, Wikaira, M., **Cvitanovic, L.** & Williams Blyth, T. (2020). *Te Taniwha I Te Ao Ture-ā-Whānau Whānau Experience of Care and Protection in the Family Court*, Te Kōpū Ed.

**Potaka-Osborne, G. & Cvitanovic, L.** (2020). *Evaluation of Oranga Whānau Rangatahi Innovation (OWRI)*. Whanganui, Whakauae Research for Māori Health and Development.

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**Potaka-Osborne, G.** (2020). 'Cup of tea words': Experiences of Māori evaluators in externally commissioned evaluations. [Master's thesis]. Massey University. <https://mro.massey.ac.nz/bitstream/handle/10179/15947/Potaka-OsborneMATHesis.pdf?sequence=1&isAllowed=y>



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**Allport, T.** (2020). Perceptions of Papakainga – an examination of 'home'. *9th Biennial International Indigenous Research Conference*. Online virtual event: 18 – 20 November.

**Boulton, A.** (2020) "Foreign Interferer or Citizen Warrior?" Invited Keynote Panel Presentation at the *Association of Research Managers Conference, Never Waste a Crisis – Lessons Learned*. Online virtual event: 26-30 November.

**Graham, A.** (2020). Social Mandate for Equity: Raising Rangatiratanga. *Keynote Address*. Research Week, School of Health and Social Services, Whitireia Community Polytechnic. Wellington: 29 January.

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**Boulton, A.** (2020). Iwi & partnerships: Te Tauhi o Te Waka ā Maui COVID-19 Response He Waka Eke Noa. *Ko toku ara ra Aotearoa COVID 19 2020 Te Rau Ora Webinar Series*, 15 October. <https://terauora.com/webinars/>

**Boulton, A.** & Oosterman, J. (2020, August 21). *Whānau Ora: An interview with Dr Amohia Boulton* [Video]. Recorded for SPOL 203: Social Policy in times of crisis and change, Te Herenga Waka – Victoria University of Wellington.

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Te Kawa, D & **Boulton, A.** (2020). Raising waka, and not just yachts. *Progressive Thinking: Ten Possible Futures for Public & Community Services* Public Service Association - Te Pukenga Here Tikanga Mahi webinar series, 22 July. [www.psa.org.nz/ProgressiveThinking](http://www.psa.org.nz/ProgressiveThinking)

## OTHER PRINT, BROADCAST AND ONLINE MEDIA

Children's care or protection: Calls for radical changes to be made to Family Court. (2020, July 27). *New Zealand Herald*. [https://www.nzherald.co.nz/nz/news/article.cfm?c\\_id=1&objectid=12351013](https://www.nzherald.co.nz/nz/news/article.cfm?c_id=1&objectid=12351013)

Māori families feel ignored by Family Court judges. (2020, July 27). *Radio New Zealand*. <https://www.rnz.co.nz/news/national/422153/maori-families-feel-ignored-by-family-court-judges-report#:~:text=Most%20wh%C4%81nau%20M%C4%81ori%20going%20through,a%20new%20report%20has%20found.&text=It%20found%2069%20percent%20of,they%20did%20not%20understand%20it>

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# Financial Report

Whakauae Research Services Ltd  
For the year ended 31 December 2020

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## ENTITY INFORMATION

# Whakauae Research Services Ltd

## For the year ended 31 December 2020

### ‘WHO WE ARE AND WHY WE EXIST’

#### Legal Name of Entity

Whakauae Research Services Limited

#### Entity Type and Legal Basis

Company and Registered Charity

#### Registration Number

CC47845

#### Entity's Purpose or Mission

**Our vision is:** Transforming Māori lives through excellent research.

**Our mission:** From thought to action - driving high quality kaupapa Māori research, that is innovative, collaborative and “cutting edge” to create positive change.

#### Entity Structure

Whakauae Research Services Ltd was established by Te Rūnanga o Ngāti Hauiti in 2005. The organisation is a wholly owned subsidiary of Te Maru o Ruahine Trust, accountable to Te Rūnanga o Ngāti Hauiti.

Whakauae was established to make a difference to the lives of Māori, to develop the research capacity of Ngāti Hauiti and to offer a broad range of Māori centred research services both nationally and internationally. Whakauae is unique in its position within Māori health research being directly accountable to its iwi owners through Te Rūnanga o Ngāti Hauiti. Since its inception, Whakauae has focused primarily on Māori public health research, health promotion evaluation and primary care research.

With the addition of new staff in 2008, we broadened our focus to include health services and health policy research. By 2016, the team had grown from two to eight staff. Whakauae utilises largely kaupapa Māori qualitative research methodologies. However, through research partnerships we are able to offer a mixed method approach if the research requires this. We are proud of our track record of sustainable, effective partnerships. We have strong community linkages with whānau, hapū and iwi. We also partner with academic institutions and health service providers to develop and deliver research.

#### Main Sources of Entity's Cash and Resources

Our research is funded through a mixture of contestable central government research grants, consultancy, international collaborations and commissioned evaluation.

#### Main Methods Used by Entity to Raise Funds

We have a range of funding sources including contestable funding from government agencies, private consultancy, contracts with academic institutions, and with health and social services providers. We do not raise funds from the public.

#### Entity's Reliance on Volunteers and Donated Goods or Services

Whakauae Research Services relies on the members of Te Rūnanga o Ngāti Hauiti to voluntarily provide support and guidance for its work, and on the whānau, hapū and iwi members who support us through sharing their perspectives and experience, assisting us in our journey to knowledge.

#### Physical Address

19 Ridgway Street, Whanganui 4500

#### Email/Website

admin@whakauae.co.nz  
www.whakauae.co.nz



## APPROVAL OF FINANCIAL REPORT

### Whakauae Research Services Ltd For the year ended 31 December 2020

The governing body are pleased to present the approved financial report including the historical financial statements of Whakauae Research Services Ltd for year ended 31 December 2020.



Peter Fraser  
Chair  
12 April 2021



Anthony Thompson  
Director  
12 April 2021





# STATEMENT OF SERVICE PERFORMANCE

## Whakauae Research Services Ltd For the year ended 31 December 2020

### Description of Entity's Outcomes

**Our vision is:** Transforming Māori lives through excellent research.

**Our mission:** From thought to action: driving high quality kaupapa Māori research that is innovative, collaborative and cutting edge to create positive change.

As a kaupapa Māori health research centre that is iwi-owned and mandated we seek to transform the lives of Māori whānau, hapū and iwi by providing high quality, timely and relevant research and advice that:

- gives priority to the desires of Māori to live and flourish as Māori;
- influences policy, funding and delivery decisions which affect Māori at the local, regional and national level; and
- contributes to iwi-identified goals and objectives.

	2020	2019
<b>Conducting Research</b>		
Total number of active revenue contracts during the year	15	9
Revenue contracts through academic institution or community partnership	8	6
	<b>2020</b>	<b>2019</b>
<b>Research Dissemination - Academic Excellence</b>		
Presentations at conferences including webinars	8	18
Published outputs including: peer reviewed articles and technical reports	17	16
Other print, broadcast and online media	13	0
	<b>2020</b>	<b>2019</b>
<b>Building Capacity - Supporting Others</b>		
Research scholarships - Masters level and above	4	2
Hosting international academics and students	1	6
Supporting others to disseminate research	2	8
	<b>2020</b>	<b>2019</b>
<b>Service to Community and Academic Leadership</b>		
Governance Roles	11	7
Academic supervision and advisory roles	12	10
	<b>2020</b>	<b>2019</b>
<b>Future Focus</b>		
Submit expression of interest for a research concept	1	1
Submit full project proposal for research funding	1	2
Partnering with others to submit research proposals	1	2

These financial statements should be read in conjunction with the attached Accounting Policies and Notes to the Financial Statements and the Auditor's Report.



## STATEMENT OF FINANCIAL PERFORMANCE

### Whakauae Research Services Ltd For the year ended 31 December 2020

#### 'How was it funded?' and 'What did it cost?'

	Notes	2020	2019
<b>Revenue</b>			
Revenue from providing goods or services	1	1,225,600	1,263,237
Interest, dividends and other investment revenue	1	12,449	17,145
Other revenue	1	-	3,000
<b>Total Revenue</b>		<b>1,238,049</b>	<b>1,283,382</b>
<b>Expenses</b>			
Volunteer and employee related costs	2	815,949	807,140
Costs related to providing goods or service	2	341,887	400,101
Grants and donations made	2	17,828	15,867
Other expenses	2	44,967	55,857
<b>Total Expenses</b>		<b>1,220,630</b>	<b>1,278,965</b>
<b>Surplus/(Deficit) for the Year</b>		<b>17,419</b>	<b>4,417</b>

These financial statements should be read in conjunction with the attached Accounting Policies and Notes to the Financial Statements and the Auditor's Report.





# STATEMENT OF FINANCIAL POSITION

## Whakauae Research Services Ltd For the year ended 31 December 2020

'What the entity owns?' and 'What the entity owes?'

	Notes	2020	2019
<b>Assets</b>			
<b>Current Assets</b>			
Bank accounts and cash	4	373,103	74,500
Debtors and prepayments	4	32,414	40,721
Term Deposits (90-365 days)		315,065	367,232
<b>Total Current Assets</b>		<b>720,582</b>	<b>482,454</b>
<b>Non-Current Assets</b>			
Property, Plant and Equipment	5	23,091	33,519
Other non-current assets	6	106,822	100,000
<b>Total Non-Current Assets</b>		<b>129,913</b>	<b>133,519</b>
<b>Total Assets</b>		<b>850,495</b>	<b>615,973</b>
<b>Liabilities</b>			
<b>Current Liabilities</b>			
Creditors and accrued expenses	7	136,853	76,906
Employee costs payable	7	93,905	92,648
Income in advance	8	296,541	106,666
<b>Total Current Liabilities</b>		<b>527,298</b>	<b>276,220</b>
<b>Total Liabilities</b>		<b>527,298</b>	<b>276,220</b>
<b>Total Assets less Total Liabilities (Net Assets)</b>		<b>323,197</b>	<b>339,753</b>
<b>ACCUMULATED FUNDS</b>			
Accumulated surpluses or (deficits)	9	323,197	339,753
<b>Total Accumulated Funds</b>		<b>323,197</b>	<b>339,753</b>

These financial statements should be read in conjunction with the attached Accounting Policies and Notes to the Financial Statements and the Auditor's Report.



## STATEMENT OF CASH FLOWS

### Whakauae Research Services Ltd For the year ended 31 December 2020

#### 'How the entity has received and used cash'

	2020	2019
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Donations, fundraising and other similar receipts	60,437	-
Receipts from providing goods or services	1,427,470	1,229,331
Interest, dividends and other investment receipts	8,026	15,942
Cash receipts from other operating activities	500	3,395
GST	12,003	(7,939)
Payments to suppliers and employees	(1,205,065)	(1,255,423)
Donations or grants paid	(11,328)	(8,367)
Cash flows from other operating activities	(154)	125
<b>Total Cash Flows from Operating Activities</b>	<b>291,891</b>	<b>(22,936)</b>
<b>CASH FLOWS FROM INVESTING AND FINANCING ACTIVITIES</b>		
Cash flows from the sale (purchase) of property, plant & equipment	(11,480)	(22,105)
Cash flows from the sale (purchase) of investments	52,167	186,721
Cash flows from loans made to other parties	-	(100,069)
Cash flows from other investing and financing activities	(33,975)	(37,259)
<b>Total Cash Flows from Investing and Financing Activities</b>	<b>6,712</b>	<b>27,288</b>
<b>Net Increase/ (Decrease) in Cash</b>	<b>298,603</b>	<b>4,352</b>
<b>CASH BALANCES</b>		
Cash and cash equivalents at beginning of period	74,500	70,148
Cash and cash equivalents at end of period	373,103	74,500
<b>Net change in cash for period</b>	<b>298,603</b>	<b>4,352</b>

These financial statements should be read in conjunction with the attached Accounting Policies and Notes to the Financial Statements and the Auditor's Report.





# STATEMENT OF ACCOUNTING POLICIES

## Whakauae Research Services Ltd For the year ended 31 December 2020

### 'How did we do our accounting?'

#### Basis of Preparation

The entity has elected to apply PBE SFR-A (NFP) Public Benefit Entity Simple Format Reporting - Accrual (Not-For-Profit) on the basis that it does not have public accountability and has total annual expenses equal to or less than \$2,000,000. All transactions in the Performance Report are reported using the accrual basis of accounting. The Performance Report is prepared under the assumption that the entity will continue to operate in the foreseeable future.

#### Goods and Services Tax (GST)

The entity is registered for GST. All amounts are stated exclusive of goods and services tax (GST) except for accounts payable and accounts receivable which are stated inclusive of GST.

#### Income Tax

Whakauae Research Services Ltd is wholly exempt from New Zealand income tax having fully complied with all statutory conditions for these exemptions.

#### Bank Accounts and Cash

Bank accounts and cash in the Statement of Cash Flows comprise cash balances and bank balances (including short term deposits) with original maturities of 90 days or less.

#### Employee Benefits

Employee benefits are recognised when the service is performed. Annual leave is accrued as earned.

#### Interest Revenue

Interest revenue is recognised as it accrues, using the effective interest method.

#### Property Plant & Equipment

Items of Property, Plant and Equipment are measured at cost less accumulated depreciation and impairment losses. Cost includes expenditure that is directly attributable to the acquisition of the asset. Where an asset is donated to the entity, its cost is measured at its current value as at the date of acquisition.

Depreciation is charged on a diminishing value basis over the useful life of the asset. Depreciation is charged at rates calculated to allocate the cost of the asset less any estimated residual value over its remaining useful life:

• Computer Equipment:	10-67% Diminishing Value
• Furniture & Fittings:	13-25% Diminishing Value
• Leasehold Property Improvements:	10% Diminishing Value
• Office Equipment:	10-40% Diminishing Value
• Website:	50% Diminishing Value

Depreciation methods, useful lives and residual values are reviewed at each reporting date and are adjusted if there is a change in the expected pattern of consumption of the future economic benefits or service potential embodied in the asset.

Gains and losses on disposal of fixed assets are taken into account in determining the operating result for the year.

#### Operating Leases

Operating leases are those which all the risks and benefits are substantially retained by the lessor. Lease payments are expensed in the periods the amounts are payable.

#### Revenue from Providing Goods and Services

The Company receives revenue from the Health Research Council, Ministry of Health, District Health Boards, and various academic institutions. Revenue is recognised in the period the services are provided.

#### Tier 2 PBE Accounting Standards Applied

The Company has not adopted any Tier 2 standards.

#### Changes in Accounting Policies

There have been no changes in accounting policies. Policies have been applied on a consistent basis with those of the previous reporting period.



## NOTES TO THE PERFORMANCE REPORT

### Whakauae Research Services Ltd For the year ended 31 December 2020

#### 1. Analysis of Revenue

	2020	2019
<b>REVENUE FROM PROVIDING GOODS OR SERVICES</b>		
Contract Research Income	1,197,100	1,245,832
Minor Contract income	28,500	17,405
<b>Total Revenue from providing goods or services</b>	<b>1,225,600</b>	<b>1,263,237</b>
<b>INTEREST, DIVIDENDS AND OTHER INVESTMENT REVENUE</b>		
Interest Received	12,449	17,145
<b>Total Interest, dividends and other investment revenue</b>	<b>12,449</b>	<b>17,145</b>
<b>OTHER REVENUE</b>		
Sundry Income	-	3,000
<b>Total Other revenue</b>	<b>-</b>	<b>3,000</b>

#### 2. Analysis of Expenses

	2020	2019
<b>VOLUNTEER AND EMPLOYEE RELATED COSTS</b>		
Directors' Fees	8,000	12,000
Other Employee Related Costs	13,108	12,255
Wages & Salaries	794,840	782,885
<b>Total Volunteer and employee related costs</b>	<b>815,949</b>	<b>807,140</b>
<b>COSTS RELATED TO PROVIDING GOODS OR SERVICES</b>		
Office Expenses	55,126	52,035
Rent	35,176	29,128
Research Costs	42,563	66,669
Research Subcontractors	185,533	176,620
Travel	23,489	75,649
<b>Total Costs related to providing goods or services</b>	<b>341,887</b>	<b>400,101</b>
<b>GRANTS AND DONATIONS MADE</b>		
Scholarships & Sponsorship	17,828	15,867
<b>Total Grants and donations made</b>	<b>17,828</b>	<b>15,867</b>
<b>OTHER EXPENSES</b>		
Auditor's Remuneration	7,843	3,675
Consultancy	15,070	35,297
Depreciation	14,617	14,384
Loss on Sale/Disposal of Fixed Assets	7,291	-
Other Expenses	147	2,501
<b>Total Other expenses</b>	<b>44,967</b>	<b>55,857</b>

#### 3. Depreciation Expense

	2020	2019
Computer Equipment	12,213	11,001
Furniture & Fittings	938	705
Leasehold Improvements	-	303
Office Equipment	1,281	2,007
Website	185	370
<b>Total Depreciation Expense</b>	<b>14,617</b>	<b>14,384</b>





#### 4. Analysis of Assets

	2020	2019
<b>BANK ACCOUNTS AND CASH</b>		
ANZ - Call Accounts	320,403	-
ANZ - Operating Account	52,373	74,000
ANZ - Petty Cash	327	500
<b>Total Bank accounts and cash</b>	<b>373,103</b>	<b>74,500</b>
<b>DEBTORS AND PREPAYMENTS</b>		
Accounts Receivable	21,689	30,203
Interest Receivable	250	2,649
Prepayments	9,442	3,354
Other Current Assets	1,034	4,515
<b>Total Debtors and prepayments</b>	<b>32,414</b>	<b>40,721</b>

#### 5. Property, Plant and Equipment

	2020	2019
<b>COMPUTER EQUIPMENT</b>		
Computer Equipment	56,086	59,137
Less Accumulated Depreciation on Computer Equipment	(41,608)	(37,107)
<b>Total Computer Equipment</b>	<b>14,478</b>	<b>22,030</b>
<b>FURNITURE AND FITTINGS</b>		
Furniture and fittings owned	11,054	9,015
Accumulated depreciation - furniture and fittings owned	(5,375)	(4,653)
<b>Total Furniture and Fittings</b>	<b>5,679</b>	<b>4,362</b>
<b>LEASEHOLD IMPROVEMENTS</b>		
Leasehold Improvements & Chattels - Building	-	4,576
Less Accumulated Depreciation on Leasehold Improvements	-	(1,849)
<b>Total Leasehold Improvements</b>	<b>-</b>	<b>2,727</b>
<b>OFFICE EQUIPMENT</b>		
Office Equipment	16,209	16,209
Less Accumulated Depreciation on Office Equipment	(13,460)	(12,179)
<b>Total Office Equipment</b>	<b>2,749</b>	<b>4,030</b>
<b>WEBSITE</b>		
Website	7,095	7,095
Less Accumulated Depreciation on Website	(6,910)	(6,725)
<b>Total Website</b>	<b>185</b>	<b>370</b>
<b>Total Property, Plant and Equipment</b>	<b>23,091</b>	<b>33,519</b>

The entity has committed to and contracted for \$nil of future capital expenditure which has not been accounted for in the financial statements. (Last year - \$nil).

#### Significant Donated Assets Recorded

There are no significant donated assets recorded. (Last year - \$nil).

#### Significant Donated Assets - Not Recorded

There are no significant non-recorded donated assets. (Last year - \$nil).



## NOTES TO THE PERFORMANCE REPORT

### 6. Other Non-Current Assets

	2020	2019
Loan to MPWCT	100,000	100,000
Loan to MPWCT - Interest Receivable	6,822	-
<b>Total Other Non-Current Assets</b>	<b>106,822</b>	<b>100,000</b>

The Mokai Patea Waitangi Claims Trust (MPWCT) is a group of iwi including Ngati Hauiti that are responsible for negotiating a Waitangi claims settlement. Whakauae Research Services Ltd loaned \$100,000 to MPWCT at the request of Te Rūnanga o Ngāti Hauiti.

- interest: 5% per annum;
- term: the earlier of 6 years from the first Drawdown Date (20 August 2019), or the 10th Business Day after the actual date financial settlement is paid by the Crown in respect of the Claims.

### 7. Analysis of Liabilities

	2020	2019
<b>CREDITORS AND ACCRUED EXPENSES</b>		
Accounts Payable	29,309	40,058
GST	25,321	13,369
Credit Cards	3,616	11,734
Sundry Accounts Payable	78,607	11,745
<b>Total Creditors and accrued expenses</b>	<b>136,853</b>	<b>76,906</b>
<b>EMPLOYEE COSTS PAYABLE</b>		
Provision for Holiday Pay	49,592	59,906
Provision for Sabbatical Leave	44,313	32,743
<b>Total Employee costs payable</b>	<b>93,905</b>	<b>92,648</b>

### 8. Income Received in Advance

	2020	2019
Health Research Council - D3: Data, Decision Making and Development	120,000	106,666
Health Research Council - Māmā e Māmia	56,226	-
Health Research Council - Te Ao Rauropi	73,315	-
McDonaldSporle Ltd - Te Hao Nui	47,000	-
<b>Total Income Received in Advance</b>	<b>296,541</b>	<b>106,666</b>

The company has entered into various contracts with funders and the term for some of these contracts extends beyond balance date. For each of these contracts the directors have calculated the amount received prior to balance date for which expenses have yet to be incurred and these amounts are recorded as Income Received in Advance.

### 9. Accumulated Funds

	2020	2019
<b>ACCUMULATED FUNDS</b>		
Opening Balance	339,753	372,595
<b>Accumulated surpluses or (deficits)</b>		
Current year earnings	17,419	4,417
Dividends paid	(33,975)	(37,259)
<b>Total Accumulated surpluses or (deficits)</b>	<b>(16,556)</b>	<b>(32,842)</b>
<b>Total Accumulated Funds</b>	<b>323,197</b>	<b>339,753</b>
<b>Total Accumulated Funds</b>	<b>323,197</b>	<b>339,753</b>



## 10. Share Capital

The company has issued 283,399 ordinary voting shares. All shares have equal voting rights and share equally in dividends and surplus on winding up. The shares have no par value. None of the shares are held by the Company. There have been no movements in share capital during the year. (Last year - \$nil).

## 11. Commitments

The company has committed to and contracted for \$nil of future capital expenditure which has not been accounted for in the financial statements. (Last year - \$nil)

	2020	2019
<b>COMMITMENTS TO LEASE OR RENT ASSETS</b>		
Office Lease: Within 1 year	35,000	35,000
Office Lease: > 1 year	67,083	102,083
<b>Total Commitments to lease or rent assets</b>	<b>102,083</b>	<b>137,083</b>

## 12. Contingent Liabilities and Guarantees

There are no contingent liabilities or guarantees at balance date. (Last year - \$nil).

## 13. Related Parties

	2020	2019
<b>RECEIVABLES</b>		
Accounting support and opportunities for capacity building to parent, Te Maru o Ruahine Trust, (GST excl.), (included in revenue below)	500	500
<b>Total Receivables</b>	<b>500</b>	<b>500</b>
<b>REVENUE</b>		
Whakauae Research Services Ltd has paid management fees, dissemination expenses, and provided other contributions to its parent, Te Maru o Ruahine Trust, during the year (GST excl.).	4,500	3,000
<b>Total Revenue</b>	<b>4,500</b>	<b>3,000</b>
<b>EXPENSES</b>		
Whakauae Research Services Ltd has paid management fees, dissemination expenses, and provided other contributions to its parent, Te Maru o Ruahine Trust, during the year (GST excl.).	13,786	12,326
Whakauae Research Services Ltd has paid a dividend to its parent, Te Maru o Ruahine Trust, during the year	33,975	37,259
<b>Total Expenses</b>	<b>47,761</b>	<b>49,585</b>

## 14. Ability to Continue Operating

The entity will continue to operate for the foreseeable future.

## 15. Events After the Balance Date

There were no events that have occurred after the balance date that would have a material impact on the Performance Report. (Last year - nil).





## INDEPENDENT AUDITOR'S REPORT

To the shareholders of Whakauae Research Services Limited

### Report on the Performance Report

We have audited the performance report of Whakauae Research Services Limited on pages 69 to 79 which comprises the entity information, the statement of service performance, the statement of financial performance, statement of movements in equity and statement of cash flows for the year ended 31 December 2020, the statement of financial position as at 31 December 2020, and the statement of accounting policies and other explanatory information.

#### In our opinion:

- a) the reported outcomes and outputs, and quantification of the outputs to the extent practicable, in the statement of service performance are suitable;
- b) the accompanying performance report on pages 69 to 79 presents fairly, in all material respects,
- the entity information for the year then ended;
  - the service performance for the year then ended; and
  - the financial position of Whakauae Research Services Limited as at 31 December 2020, and its financial performance, and cash flows for the year then ended in accordance with Public Benefit Entity Simple Format Reporting – Accrual (Not-For-Profit) issued by the New Zealand Accounting Standards Board

#### Basis for Opinion

We conducted our audit of the statement of financial performance, statement of financial position, statement of cash flows, statement of accounting policies and notes to the performance report in accordance with International Standards on Auditing (New Zealand) (ISAs (NZ)), and the audit of the entity information and statement of service performance in accordance with the International Standard on Assurance Engagements (New Zealand) ISAE (NZ) 3000 (Revised). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Performance Report section of our report. We are independent of Whakauae Research Services Limited in accordance with Professional and Ethical Standard 1 (Revised) Code of Ethics for Assurance Practitioners issued by the New Zealand Auditing and Assurance Standards Board, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Other than in our capacity as auditor we have no relationship with, or interests in, the Whakauae Research Services Limited.

#### Other Information

The Directors are responsible on behalf of the Company for the other information. The other information comprises the Annual Report but does not include the financial statements and our auditor's report thereon.

Our opinion on the financial statements does not cover the other information and we do not express any form of assurance conclusion thereon.

In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the audit or otherwise appears to be materially misstated. If based, on the work we have performed, we conclude



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that there is a material misstatement of this other information, we are required to report that fact. We have nothing to report in this regard.

### **Responsibilities of the Directors for the Performance Report**

The Directors are responsible for:

(a) Identifying outcomes and outputs, and quantifying the outputs to the extent practicable, that are relevant, reliable, comparable and understandable, to report in the statement of service performance;

(b) the preparation and fair presentation of the performance report on behalf of the entity which comprises:

- the entity information;
- the statement of service performance; and
- the statement of financial performance, statement of financial position, statement of cash flows, statement of accounting policies and notes to the performance report in accordance with Public Benefit Entity Simple Format Reporting – Accrual (Not-For-Profit) issued by the New Zealand Accounting Standards Board, and

(c) such internal control as the Directors determine is necessary to enable the preparation of the performance report that is free from material misstatement, whether due to fraud or error.

In preparing the performance report, the Directors are responsible on behalf of Whakauae Research Services Limited for assessing the entity's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the Directors either intend to liquidate Whakauae Research Services Limited or to cease operations, or have no realistic alternative but to do so.

### **Auditor's Responsibilities for the Audit of the Performance Report**

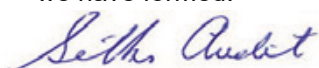
Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (NZ) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

A further description of the auditors responsibilities for the audit of the financial statements is located at the External Reporting Board's website at:

<http://www.xrb.govt.nz/standards-for-assurance-practitioners/auditors-responsibilities/audit-report-8/>

### **Restriction on Responsibility**

This report is made solely to the Directors, as a body, in accordance with section 42F of the Charities Act 2005. Our audit work has been undertaken so that we might state to the Directors those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the Directors as a body, for our audit work, for this report, or for the opinions we have formed.



**Cameron Town**  
**Silks Audit Chartered Accountants Ltd**  
**Whanganui, New Zealand**

**Date: 12 April 2021**



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**WHAKAUAE**  
Research for Māori Health and Development

Whakauae Research for  
Māori Health &  
Development  
2020 Annual Report

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